

FEDERAL JUDICIAL CENTER
Salaries and Expenses
SUMMARY STATEMENT OF ACCOUNT REQUIREMENTS

Fiscal Year 2021 Enacted Appropriation	\$29,015,000
Fiscal Year 2022 Requested Appropriation	\$31,864,000
Requested Increase from Fiscal Year 2021 Enacted Appropriation	\$2,849,000

APPROPRIATION LANGUAGE

**FEDERAL JUDICIAL CENTER
SALARIES AND EXPENSES**

For necessary expenses of the Federal Judicial Center, as authorized by Public Law 90-219, [~~\$29,015,000~~]*\$31,864,000*; of which \$1,800,000 shall remain available until September 30, [~~2022~~]*2023*, to provide education and training to Federal court personnel; and of which not to exceed \$1,500 is authorized for official reception and representation expenses.

(P.L. 116-260 - Financial Services and General Government Appropriations Act, 2021)

**SUMMARY OF REQUEST
FEDERAL JUDICIAL CENTER
SALARIES AND EXPENSES
FISCAL YEAR 2022
(Dollar amounts in thousands)**

Fiscal Year 2022 Resource Requirements:

	<u>FTEs</u>	<u>Amount</u>
Fiscal Year 2021 Assumed Obligations.....	128	29,255
Reimbursements from Federal Accounts and FJC Foundation.....	-	(240)
Utilization of Judiciary Information Technology Fund.....	-	-
Fiscal Year 2021 Enacted Appropriation.....	128	29,015

**Page Adjustments to Base to Maintain Current Services:
No.**

A. PERSONNEL

Pay and Benefit Adjustments

9.10	1. Proposed 2022 pay adjustment (1.0% for nine months)	-	168
9.10	2. Annualization of 2021 pay adjustment (1% for three months).....	-	55
9.10	3. Promotions and within-grade increases.....	-	170
9.10	4. Benefits increases		
	a. Health benefits.....	-	33
	b. FICA adjustment.....	-	19
	c. FERS adjustment.....	-	170

**SUMMARY OF REQUEST
FEDERAL JUDICIAL CENTER
SALARIES AND EXPENSES
FISCAL YEAR 2022
(Dollar amounts in thousands)**

		<u>FTEs</u>	<u>Amount</u>
B. OTHER ADJUSTMENTS			
<i>General Inflationary Adjustments</i>			
9.11	5. Inflationary increases in charges for contracts, services, supplies, and equipment.....	-	134
	Subtotal, Adjustments to Base to Maintain Current Services.....	-	749
	Total Current Services Appropriation Required	128	29,764
9.11	C. PROGRAM INCREASE		
	6. Restoration request to resume in-person program offerings for judges and judiciary employees.....	-	2,100
	Total Fiscal Year 2022 Appropriation Required.....	128	31,864
	Total Appropriation Increase.....	-	2,849
<u>Financing the Fiscal Year 2022 Request:</u>			
	Total Appropriation Required, Fiscal Year 2022.....	128	31,864
9.12	7. Estimated reimbursements from federal accounts and the FJC Foundation.....	-	350
	Total Estimated Obligations, Fiscal Year 2022.....	128	32,214

FEDERAL JUDICIAL CENTER
Salaries and Expenses
Obligations by Activity (\$000)

Activity	Fiscal Year 2020 Actuals			Fiscal Year 2021 Assumed			Fiscal Year 2022 Request		
	Direct	Offsetting Collections	Total	Direct	Offsetting Collections	Total	Direct	Offsetting Collections	Total
Education & Training	15,365	15	15,380	19,388	240	19,628	21,988	350	22,338
Research	5,572	-	5,572	5,821	-	5,821	5,971	-	5,971
Program Support	5,106	-	5,106	3,806	-	3,806	3,905	-	3,905
Total Obligations	26,043	15	26,058	29,015	240	29,255	31,864	350	32,214
Unobligated Balance, Start of Year: Judiciary Information Technology Fund	(411)	-	(411)	-	-	-	-	-	-
Deposits and Other Adjustments: Judiciary Information Technology Fund	(500)	-	(500)	-	-	-	-	-	-
Unobligated Balance, End of Year: Judiciary Information Technology Fund	824	-	824	-	-	-	-	-	-
<i>Subtotal, Other Obligations</i>	<i>(87)</i>	<i>-</i>	<i>(87)</i>	<i>-</i>	<i>-</i>	<i>-</i>	<i>-</i>	<i>-</i>	<i>-</i>
Transfer to the Defender Services Account	1,522	-	1,522	-	-	-	-	-	-
Direct Obligations	27,478	15	27,493	29,015	240	29,255	31,864	350	32,214
Unobligated Balance, End of Year	2,958	-	2,958	-	-	-	-	-	-
Offsetting Collections Reimbursable programs	-	(15)	(15)	-	(240)	(240)	-	(350)	(350)
Available Appropriation	30,436	-	30,436	29,015	-	29,015	31,864	-	31,864

FEDERAL JUDICIAL CENTER
Salaries & Expenses
Obligations by Object Class (\$000)

Description	Fiscal Year 2020			Fiscal Year 2021			Fiscal Year 2022		
	Actuals			Assumed			Request		
	Direct	Offsetting Collections	Total Oblig.	Direct	Offsetting Collections	Total Oblig. ¹	Direct	Offsetting Collections	Total Oblig. ²
1100 Personnel compensation	16,360	-	16,360	16,688	112	16,800	17,080	112	17,192
1200 Personnel benefits	5,425	-	5,425	5,619	28	5,647	5,841	28	5,869
2100 Travel	366	15	381	3,651	100	3,751	5,823	210	6,033
2200 Transportation of things	26	-	26	31	-	31	32	-	32
2300 Communications, utilities & misc	350	-	350	491	-	491	501	-	501
2400 Printing and reproduction	16	-	16	56	-	56	57	-	57
2500 Other services	1,124	-	1,124	1,105	-	1,105	1,127	-	1,127
2600 Supplies and materials	564	-	564	689	-	689	703	-	703
3100 Equipment	1,225	-	1,225	685	-	685	700	-	700
9000 DSO Transfer	1,522	-	1,522	-	-	-	-	-	-
9100 Judiciary Info Tech. Fund	500	-	500	-	-	-	-	-	-
Direct Obligations	27,478	15	27,493	29,015	240	29,255	31,864	350	32,214
Other Obligations (JITF Obligations)	87	-	87	-	-	-	-	-	-
Total Obligations	27,565	-	27,580	29,015	-	29,255	31,864	-	32,214

Note: As the result of canceling all in-person programs and travel from mid-March through the end of fiscal year 2020, the Center ended fiscal year 2020 with a surplus of funds. The Center reduced the surplus by transferring \$1,521,800 to the judiciary's Defender Services account. In addition, the Center waived payment of \$140,000 by that account for educational services the Center rendered for federal defenders. In addition, the Center transferred \$500,000 to the Judiciary Information Technology Fund, reserving that money for future information technology needs. Even with these actions and with other end of year expenditures, the Center ended fiscal year 2020 with a surplus of \$1,364,991 and \$1,593,430 in carryforward funds.

¹Fiscal year 2021 assumed obligations reflect an estimated reduction of \$2,100,000 in travel funds as the Center currently has no in-person programs scheduled during the first two quarters of fiscal year 2021.

²Fiscal year 2022 assumed obligations include a request to restore \$2,100,000 to offset the expected reduction in the Center's fiscal year 2021 appropriation.

FEDERAL JUDICIAL CENTER
Salaries & Expenses
Relation of Direct Obligations to Outlays (\$000)

	FY 2020 Actual	FY 2021 Assumed	FY 2022 Request
Obligations incurred	27,493	29,255	32,214
Obligated balance, start of year	3,609	3,537	1,513
Adjustment of prior year activity	0	35	36
Obligated balance, end of year	<u>(3,537)</u>	<u>(1,513)</u>	<u>(1,364)</u>
Total Outlays	27,565	31,314	32,399
Less Offsets	(15)	(240)	(350)
Net Outlays	27,550	31,074	32,049

FEDERAL JUDICIAL CENTER
Salaries and Expenses
Full Time Equivalents by Activity

Activity	Fiscal Year 2020			Fiscal Year 2021			Fiscal Year 2022		
	Direct	Offsetting Collec.	Total	Direct	Offsetting Collec.	Total	Direct	Offsetting Collec.	Total
Education & Training	89	0	89	89	0	89	89	0	89
Research	28	0	28	29	0	29	29	0	29
Program Support	10	0	10	10	0	10	10	0	10
Total, Full Time Equivalents	127	0	127	128	0	128	128	0	128

GENERAL STATEMENT AND INFORMATION

This appropriation request is for the salaries and operating expenses of the Federal Judicial Center (the Center), which was established by P.L. 90-219 (81 Stat. 664) on December 20, 1967. This statute directs the Center “to further the development and adoption of improved judicial administration” in the federal courts.

The fiscal year (FY) 2022 request for the Federal Judicial Center totals \$31,864,000 to support the operations of the Center at a restored services level.

Functional Responsibilities of the Center

The Center’s functions are outlined in statute, especially 28 U.S.C. §§ 620(b) and 623(a). Pursuant to those mandates, it teaches judges and other Judicial Branch personnel about legal developments and efficient litigation management and court administration.

Examples include various educational programs that teach judges and court legal staff about new laws and emerging issues; programs that help judges and court executives promote efficiency in the just resolution of cases and in court administration; and programs that educate court staff on effective court operations. Over 80 percent of Center training participants attend the training in their home cities through in-court seminars, Center video programs, manuals, web-based conferences, and interactive computer programs.

The Center also conducts empirical research into court operations and activities. Most Center research is performed at the request of the Judicial Conference of the United States in order to inform policy decisions of the Conference. Center research also contributes to improved judicial administration by assessing the efficacy of case and court management procedures. For example, it continues to assess case management tools for complex litigation. (The Center’s statute also assigns it other duties regarding state-federal judicial relations, assistance to foreign judiciaries, and federal judicial history, which it also performs.) Program planning is coordinated among the Center, the Administrative Office of the U.S. Courts (AO), and the U.S. Sentencing Commission to maximize efficiency in meeting their distinct responsibilities.

Duties of the Board

A Board of nine supervises the Center. The Chief Justice of the United States is the Board Chairman. The Board includes two judges of the U.S. Courts of Appeals, three judges of the U.S. District Courts, one bankruptcy judge, and one magistrate judge; each elected by the Judicial Conference of the United States for a four-year term. The Director of the AO is an ex-officio Board member. By statute, the Board is responsible for developing overall policy to guide the Center's operations and approving the Center's appropriations requests. The Board appoints a Director and a Deputy Director, who are responsible for supervising the Center's staff and carrying out the Center's programs. The Center coordinates as necessary with the Judicial Conference's Budget Committee and the AO in developing the Center's appropriation requests.

FISCAL YEAR 2021 APPROPRIATIONS

The judiciary built the FY 2022 budget request for the Federal Judicial Center on the enacted FY 2021 appropriation of \$29,015,000. For bill language, the judiciary used the language from P.L. 116-260, Financial Services and General Government Appropriations Act, 2021.

JUSTIFICATION OF CHANGES

The Federal Judicial Center's FY 2022 appropriation request is \$31,864,000, representing an increase of \$2,849,000 (9.8 percent) over the FY 2021 appropriation of \$29,015,000, which was reduced due to COVID-19 pandemic related delays in delivering training programs. This amount includes \$749,000 in standard adjustments to base and a restoration request of \$2,100,000 to resume in-person programs. The Center would not ordinarily request such a large increase; however, without the restoration of the one-time cost savings in FY 2021, the Center would have to eliminate a substantial portion of its in-person education for judges and judiciary staff in FY 2022.

ADJUSTMENTS TO BASE TO MAINTAIN CURRENT SERVICES

A. PERSONNEL

Pay and Benefit Adjustments

1. Proposed 2022 pay adjustment

Requested Increase: \$168,000

The judiciary is assuming federal pay rates will increase by 1.0 percent in January 2022. The requested increase provides for the cost of nine months of the anticipated pay adjustment in FY 2022, from January 2022 to September 2022. (If the pay adjustment included in the President's FY 2022 budget request is different from this 1.0 percent guidance, the judiciary will revise this line item in its FY 2022 budget re-estimate.)

2. Annualization of 2021 pay adjustment

Requested Increase: \$55,000

The requested increase provides for the annualized costs of a 2021 Employment Cost Index pay adjustment for federal civilian workers. Based on the FY 2021 enacted appropriation, federal pay rates increased by 1.0 percent, effective as of January 2021. The requested increase provides for the cost of three months (from October 2021 to December 2021) of the 2021 pay increase in FY 2022.

3. Promotions and within-grade increases

Requested Increase: \$170,000

The requested increase provides for promotions and within-grade increases for personnel. The salary plan for judicial support personnel provides for periodic within-grade increases for staff who receive at least a satisfactory performance rating.

4. Benefits increases

a. Health benefits

Requested Increase: \$33,000

Based on information from the Office of Personnel Management, agency health benefit premium contributions are projected to increase by an average of 3.0 percent both in January 2021 and January 2022. The requested increase annualizes the 2021 premium increase and includes a nine-month provision for an estimated 3.0 percent increase

anticipated for FY 2022.

b. FICA adjustment

Requested Increase: \$19,000

Based on information from the Social Security Administration, employer contributions to the Old Age, Survivor, and Disability Insurance (OASDI) portion of the FICA tax will increase in 2021. The salary cap for OASDI increased from \$137,700 to \$142,800 in January 2021. The requested amount is needed to pay the agency contribution in FY 2022.

c. FERS adjustment

Requested Increase: \$170,000

Consistent with guidance from the Office of Management and Budget, funds are requested for an increase in the agency contribution rate to Federal Employees Retirement System (FERS) plans for FY 2022. For most employees, the agency contribution rate will increase from 17.3 percent to 18.4 percent. Any FERS increase is in accordance with revised estimates of the cost of providing benefits by the Board of Actuaries of the Civil Service Retirement and Disability System.

B. OTHER ADJUSTMENTS

General Inflationary Adjustments

5. Inflationary increases in charges for contracts, services, supplies, and equipment

Requested Increase: \$134,000

Consistent with guidance from the Office of Management and Budget, this requested increase is required to fund inflationary adjustments of 2.0 percent for operating expenses such as travel, communications, printing, contractual services, supplies and materials, and furniture and equipment.

C. PROGRAM INCREASE

6. Restoration request to resume in-person programs for judges and judiciary employees

Requested Restoration: \$2,100,000

The requested FY 2022 funding restoration is based on a one-time funding reduction taken in FY 2021 due to COVID-19 pandemic related savings. The Center anticipated that no in-person programs could be safely delivered in the first two quarters in FY 2021 and therefore reduced its funding requirements. To resume its full program offerings in FY 2022, the Center requires a restoration of \$2,100,000 to offset the reduction in FY 2021.

FINANCING THE FISCAL YEAR 2022 REQUEST

OFFSETTING COLLECTIONS

7. Estimated reimbursements from federal accounts and the FJC Foundation

Estimated Reimbursement: \$350,000 FTE: 0

The Center enters into reimbursable agreements to provide additional services to, or to allow economizing by, the Administrative Office of the U.S. Courts (AO), the courts, and non-judicial federal entities. For example, on occasion, the AO will add on to scheduled Center programs additional training days for judges and thereby avoid incurring duplicative transportation costs for such efforts. In addition, pursuant to 28 U.S.C. § 629, the Center receives support from occasional donations to the Federal Judicial Center Foundation, which the Center uses to conduct additional educational programs for judges. The Center estimates that \$350,000 will be reimbursed to it in FY 2022.