

DEFENDANT:  
CASE NUMBER:  
DISTRICT:

## STATEMENT OF REASONS

(Not for Public Disclosure)

Sections I, II, III, IV, and VII of the Statement of Reasons form must be completed in all felony and Class A misdemeanor cases.

### I. COURT FINDINGS ON PRESENTENCE INVESTIGATION REPORT

- A.  **The court adopts the presentence investigation report without change.**
- B.  **The court adopts the presentence investigation report with the following changes:** (Use Section VIII if necessary)  
(Check all that apply and specify court determination, findings, or comments, referencing paragraph numbers in the presentence report)
- Chapter Two of the United States Sentencing Commission [Guidelines Manual](#)** determinations by court: (briefly summarize the changes, including changes to base offense level, or specific offense characteristics)
  - Chapter Three of the United States Sentencing Commission [Guidelines Manual](#)** determinations by court: (briefly summarize the changes, including changes to victim-related adjustments, role in the offense, obstruction of justice, multiple counts, or acceptance of responsibility)
  - Chapter Four of the United States Sentencing Commission [Guidelines Manual](#)** determinations by court: (briefly summarize the changes, including changes to criminal history category or scores, career offender status, or criminal livelihood determinations)
  - Additional Comments or Findings:** (include comments or factual findings concerning any information in the presentence report, including information that the Federal Bureau of Prisons may rely on when it makes inmate classification, designation, or programming decisions; any other rulings on disputed portions of the presentence investigation report; identification of those portions of the report in dispute but for which a court determination is unnecessary because the matter will not affect sentencing or the court will not consider it)
- C.  **The record establishes no need for a presentence investigation report pursuant to Fed.R.Crim.P. 32.**  
Applicable Sentencing Guideline: (if more than one guideline applies, list the guideline producing the highest offense level) \_\_\_\_\_

### II. COURT FINDINGS ON MANDATORY MINIMUM SENTENCE (Check all that apply)

- A.  One or more counts of conviction carry a mandatory minimum term of imprisonment and the sentence imposed is at or above the applicable mandatory minimum term.
- B.  One or more counts of conviction carry a mandatory minimum term of imprisonment, but the sentence imposed is below the mandatory minimum term because the court has determined that the mandatory minimum term does not apply based on:
- findings of fact in this case: (Specify) \_\_\_\_\_
  - substantial assistance (18 U.S.C. § 3553(e))
  - the statutory safety valve (18 U.S.C. § 3553(f))
- C.  No count of conviction carries a mandatory minimum sentence.

### III. COURT DETERMINATION OF GUIDELINE RANGE: (BEFORE DEPARTURES OR VARIANCES)

Total Offense Level: \_\_\_\_\_  
Criminal History Category: \_\_\_\_\_  
Guideline Range: (after application of §5G1.1 and §5G1.2) \_\_\_\_\_ to \_\_\_\_\_ months  
Supervised Release Range: \_\_\_\_\_ to \_\_\_\_\_ years  
Fine Range: \$ \_\_\_\_\_ to \$ \_\_\_\_\_

- Fine waived or below the guideline range because of inability to pay.

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**IV. GUIDELINE SENTENCING DETERMINATION** *(Check all that apply)*

- A.  The sentence is within the guideline range and the difference between the maximum and minimum of the guideline range does not exceed 24 months.
- B.  The sentence is within the guideline range and the difference between the maximum and minimum of the guideline range exceeds 24 months, and the specific sentence is imposed for these reasons: *(Use Section VIII if necessary)* .
- C.  The court departs from the guideline range for one or more reasons provided in the [Guidelines Manual](#). *(Also complete Section V)*
- D.  The court imposed a sentence otherwise outside the sentencing guideline system (*i.e.*, a variance). *(Also complete Section VI)*

**V. DEPARTURES PURSUANT TO THE GUIDELINES MANUAL** *(If applicable)*

- A. **The sentence imposed departs:** *(Check only one)*
  - above the guideline range
  - below the guideline range
- B. **Motion for departure before the court pursuant to:** *(Check all that apply and specify reason(s) in sections C and D)*

- 1. **Plea Agreement**
  - binding plea agreement for departure accepted by the court
  - plea agreement for departure, which the court finds to be reasonable
  - plea agreement that states that the government will not oppose a defense departure motion
- 2. **Motion Not Addressed in a Plea Agreement**
  - government motion for departure
  - defense motion for departure to which the government did not object
  - defense motion for departure to which the government objected
  - joint motion by both parties
- 3. **Other**
  - Other than a plea agreement or motion by the parties for departure

**C. Reasons for departure:** *(Check all that apply)*

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> 4A1.3 Criminal History Inadequacy          | <input type="checkbox"/> 5K2.1 Death                             | <input type="checkbox"/> 5K2.12 Coercion and Duress                |
| <input type="checkbox"/> 5H1.1 Age                                  | <input type="checkbox"/> 5K2.2 Physical Injury                   | <input type="checkbox"/> 5K2.13 Diminished Capacity                |
| <input type="checkbox"/> 5H1.2 Education and Vocational Skills      | <input type="checkbox"/> 5K2.3 Extreme Psychological Injury      | <input type="checkbox"/> 5K2.14 Public Welfare                     |
| <input type="checkbox"/> 5H1.3 Mental and Emotional Condition       | <input type="checkbox"/> 5K2.4 Abduction or Unlawful Restraint   | <input type="checkbox"/> 5K2.16 Voluntary Disclosure of Offense    |
| <input type="checkbox"/> 5H1.4 Physical Condition                   | <input type="checkbox"/> 5K2.5 Property Damage or Loss           | <input type="checkbox"/> 5K2.17 High-Capacity Semiautomatic Weapon |
| <input type="checkbox"/> 5H1.5 Employment Record                    | <input type="checkbox"/> 5K2.6 Weapon                            | <input type="checkbox"/> 5K2.18 Violent Street Gang                |
| <input type="checkbox"/> 5H1.6 Family Ties and Responsibilities     | <input type="checkbox"/> 5K2.7 Disruption of Government Function | <input type="checkbox"/> 5K2.20 Aberrant Behavior                  |
| <input type="checkbox"/> 5H1.11 Military Service                    | <input type="checkbox"/> 5K2.8 Extreme Conduct                   | <input type="checkbox"/> 5K2.21 Dismissed and Uncharged Conduct    |
| <input type="checkbox"/> 5H1.11 Charitable Service/Good Works       | <input type="checkbox"/> 5K2.9 Criminal Purpose                  | <input type="checkbox"/> 5K2.22 Sex Offender Characteristics       |
| <input type="checkbox"/> 5K1.1 Substantial Assistance               | <input type="checkbox"/> 5K2.10 Victim’s Conduct                 | <input type="checkbox"/> 5K2.23 Discharged Terms of Imprisonment   |
| <input type="checkbox"/> 5K2.0 Aggravating/Mitigating Circumstances | <input type="checkbox"/> 5K2.11 Lesser Harm                      | <input type="checkbox"/> 5K2.24 Unauthorized Insignia              |
|   |  | <input type="checkbox"/> 5K3.1 Early Disposition Program (EDP)     |

Other Guideline Reason(s) for Departure, to include departures pursuant to the commentary in the [Guidelines Manual](#): *(see “List of Departure Provisions” following the Index in the Guidelines Manual.) (Please specify)*

**D. State the basis for the departure.** *(Use Section VIII if necessary)*

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### VI. COURT DETERMINATION FOR A VARIANCE *(If applicable)*

A. **The sentence imposed is:** *(Check only one)*

- above the guideline range  
 below the guideline range

B. **Motion for a variance before the court pursuant to:** *(Check all that apply and specify reason(s) in sections C and D)*

1. **Plea Agreement**

- binding plea agreement for a variance accepted by the court  
 plea agreement for a variance, which the court finds to be reasonable  
 plea agreement that states that the government will not oppose a defense motion for a variance

2. **Motion Not Addressed in a Plea Agreement**

- government motion for a variance  
 defense motion for a variance to which the government did not object  
 defense motion for a variance to which the government objected  
 joint motion by both parties

3. **Other**

- Other than a plea agreement or motion by the parties for a variance

C. **18 U.S.C. § 3553(a) and other reason(s) for a variance** *(Check all that apply)*

- The nature and circumstances of the offense pursuant to 18 U.S.C. § 3553(a)(1):  
 Mens Rea                       Extreme Conduct                       Dismissed/Uncharged Conduct  
 Role in the Offense                       Victim Impact  
 General Aggravating or Mitigating Factors: *(Specify)*

- The history and characteristics of the defendant pursuant to 18 U.S.C. § 3553(a)(1):

- |   |   |
|---|---|
| <input type="checkbox"/> Aberrant Behavior                | <input type="checkbox"/> Lack of Youthful Guidance      |
| <input type="checkbox"/> Age                              | <input type="checkbox"/> Mental and Emotional Condition |
| <input type="checkbox"/> Charitable Service/Good Works    | <input type="checkbox"/> Military Service               |
| <input type="checkbox"/> Community Ties                   | <input type="checkbox"/> Non-Violent Offender           |
| <input type="checkbox"/> Diminished Capacity              | <input type="checkbox"/> Physical Condition             |
| <input type="checkbox"/> Drug or Alcohol Dependence       | <input type="checkbox"/> Pre-sentence Rehabilitation    |
| <input type="checkbox"/> Employment Record                | <input type="checkbox"/> Remorse/Lack of Remorse        |
| <input type="checkbox"/> Family Ties and Responsibilities | <input type="checkbox"/> Other: <i>(Specify)</i> _____  |

- Issues with Criminal History: *(Specify)* \_\_\_\_\_
- To reflect the seriousness of the offense, to promote respect for the law, and to provide just punishment for the offense *(18 U.S.C. § 3553(a)(2)(A))*

- To afford adequate deterrence to criminal conduct *(18 U.S.C. § 3553(a)(2)(B))*

- To protect the public from further crimes of the defendant *(18 U.S.C. § 3553(a)(2)(C))*

- To provide the defendant with needed educational or vocational training *(18 U.S.C. § 3553(a)(2)(D))*

- To provide the defendant with medical care *(18 U.S.C. § 3553(a)(2)(D))*

- To provide the defendant with other correctional treatment in the most effective manner *(18 U.S.C. § 3553(a)(2)(D))*

- To avoid unwarranted sentencing disparities among defendants *(18 U.S.C. § 3553(a)(6)) (Specify in section D)*

- To provide restitution to any victims of the offense *(18 U.S.C. § 3553(a)(7))*

- Acceptance of Responsibility                       Conduct Pre-trial/On Bond                       Cooperation Without Government Motion for Departure

- Early Plea Agreement                       Global Plea Agreement

- Time Served *(not counted in sentence)*                       Waiver of Indictment                       Waiver of Appeal

- Policy Disagreement with the Guidelines *(Kimbrough v. U.S., 552 U.S. 85 (2007): (Specify)* \_\_\_\_\_

- Other: *(Specify)* \_\_\_\_\_

D. **State the basis for a variance.** *(Use Section VIII if necessary)*

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### VII. COURT DETERMINATIONS OF RESTITUTION

A.  Restitution not applicable.

B. Total amount of restitution: \$ \_\_\_\_\_

C. Restitution not ordered: *(Check only one)*

1.  For offenses for which restitution is otherwise mandatory under 18 U.S.C. § 3663A, restitution is not ordered because the number of identifiable victims is so large as to make restitution impracticable under 18 U.S.C. § 3663A(c)(3)(A).
2.  For offenses for which restitution is otherwise mandatory under 18 U.S.C. § 3663A, restitution is not ordered because determining complex issues of fact and relating them to the cause or amount of the victims' losses would complicate or prolong the sentencing process to a degree that the need to provide restitution to any victim would be outweighed by the burden on the sentencing process under 18 U.S.C. § 3663A(c)(3)(B).
3.  For other offenses for which restitution is authorized under 18 U.S.C. § 3663 and/or required by the sentencing guidelines, restitution is not ordered because the complication and prolongation of the sentencing process resulting from the fashioning of a restitution order outweigh the need to provide restitution to any victims under 18 U.S.C. § 3663(a)(1)(B)(ii).
4.  For offenses for which restitution is otherwise mandatory under 18 U.S.C. §§ 1593, 2248, 2259, 2264, 2327 or 3663A, restitution is not ordered because the victim(s)' losses were not ascertainable (18 U.S.C. § 3664(d)(5)).
5.  For offenses for which restitution is otherwise mandatory under 18 U.S.C. §§ 1593, 2248, 2259, 2264, 2327 or 3663A, restitution is not ordered because the victim(s) elected to not participate in any phase of determining the restitution order (18 U.S.C. § 3664(g)(1)).
6.  Restitution is not ordered for other reasons: *(Explain)*

D.  Partial restitution is ordered for these reasons: *(18 U.S.C. § 3553(c))* \_\_\_\_\_

### VIII. ADDITIONAL BASIS FOR THE SENTENCE IN THIS CASE *(If applicable)*

Defendant's Soc. Sec. No.: \_\_\_\_\_

Date of Imposition of Judgment: \_\_\_\_\_

Defendant's Date of Birth: \_\_\_\_\_

Signature of Judge \_\_\_\_\_

Defendant's Residence  
Address: \_\_\_\_\_

Name and Title of Judge \_\_\_\_\_

Date: \_\_\_\_\_

Defendant's Mailing  
Address: \_\_\_\_\_