

**Table S-22**

**Judicial Complaints —Complaints Commenced, Terminated, and Pending with Allegations and Actions Taken Under Authority of 28 U.S.C. 351-364 During the 12-Month Period Ending September 30, 2021**

Summary of Activity	Circuit														National Court[1]	
	Total	Fed	DC	1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th	11th	CC	CIT
<b>Complaints Pending on 10/1/2020[2]</b>	<b>661</b>	<b>0</b>	<b>22</b>	<b>29</b>	<b>96</b>	<b>29</b>	<b>57</b>	<b>20</b>	<b>123</b>	<b>17</b>	<b>19</b>	<b>98</b>	<b>51</b>	<b>84</b>	<b>16</b>	<b>0</b>
<b>Complaints Commenced</b>	<b>1,282</b>	<b>24</b>	<b>68</b>	<b>20</b>	<b>51</b>	<b>104</b>	<b>180</b>	<b>162</b>	<b>93</b>	<b>102</b>	<b>100</b>	<b>140</b>	<b>37</b>	<b>161</b>	<b>40</b>	<b>0</b>
<b>Source of Complaints</b>																
Filed by Complainant	1,280	24	68	20	51	104	179	162	93	102	100	139	37	161	40	0
Identified by Circuit Chief Judge	2	0	0	0	0	0	1	0	0	0	0	1	0	0	0	0
<b>Complainants[3]</b>	<b>1,304</b>	<b>24</b>	<b>68</b>	<b>20</b>	<b>52</b>	<b>104</b>	<b>180</b>	<b>165</b>	<b>93</b>	<b>103</b>	<b>102</b>	<b>147</b>	<b>39</b>	<b>167</b>	<b>40</b>	<b>0</b>
Prison Inmates	451	0	22	0	21	24	62	65	54	45	45	56	8	45	4	0
Lawyers	12	0	0	0	4	2	0	2	0	1	1	2	0	0	0	0
Litigants	777	24	35	19	25	78	114	92	39	56	24	89	26	120	36	0
Public Official	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Judicial Employees	11	0	0	0	0	0	0	0	0	0	7	0	2	2	0	0
Other	53	0	11	1	2	0	4	6	0	1	25	0	3	0	0	0
<b>Judges Complained About[4]</b>																
Circuit Judges	319	18	39	6	5	21	36	49	21	25	18	47	8	26	0	0
District Judges	754	3	27	13	38	73	115	81	59	70	72	56	17	101	29	0
Bankruptcy Judges	24	0	0	0	3	1	5	3	2	0	0	3	0	6	1	0
Magistrate Judges	178	1	2	1	5	9	24	29	11	7	10	34	12	28	5	0
Court of Federal Claims Judges	7	2	0	0	0	0	0	0	0	0	0	0	0	0	5	0
Court of International Trade Judges	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Nature of Allegations[5]</b>																
Special Treatment for Friend or Relative	33	0	1	0	4	0	3	5	0	12	3	0	1	4	0	0
Acceptance of Bribe, Gift, or Favor	13	0	0	2	0	0	1	0	0	0	3	2	3	2	0	0
Improper Ex Parte Communication with Party or Counsel	54	0	0	0	4	0	14	10	0	1	2	5	2	14	2	0
Partisan Political Activity or Statement	7	1	2	0	0	1	0	2	0	0	1	0	0	0	0	0

**Table S-22 (September 30, 2021—Continued)**

Summary of Activity	Circuit														National Court[1]	
	Total	Fed	DC	1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th	11th	CC	CIT
Soliciting Funds for Organization	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Improper Outside Income	2	0	0	0	0	0	2	0	0	0	0	0	0	0	0	0
Financial Disclosure Violation	2	0	0	0	0	0	0	0	0	0	0	0	2	0	0	0
Unwanted, Abusive, or Offensive Sexual Conduct	6	0	0	0	0	0	0	0	0	0	6	0	0	0	0	0
Hostility Toward Litigant, Attorney, Judicial Employee, or Other	69	0	4	1	4	1	9	6	1	0	7	5	6	10	15	0
Hostile Work Environment	9	0	0	0	0	0	1	0	0	0	7	0	1	0	0	0
Discrimination on the Basis of Race, Color, Sex, or Other	108	0	0	1	10	1	14	22	9	0	5	17	7	9	13	0
Retaliation for Participating in Complaint Process	30	1	0	0	1	0	2	2	6	0	6	3	3	4	2	0
Refusal to Cooperate in Complaint Proceeding	10	0	0	0	0	0	0	0	0	0	8	0	0	2	0	0
Failure to Report or Disclose Misconduct or Disability	6	0	0	0	0	0	0	0	0	0	3	0	0	3	0	0
Conduct Outside of Official Duties	9	0	0	0	2	0	0	0	0	0	6	1	0	0	0	0
Related to Merits of Decision or Ruling	988	22	44	17	42	41	140	129	77	97	66	132	32	113	36	0
Delayed Decision	90	0	1	1	10	4	20	18	8	1	2	7	5	9	4	0
Other Misconduct	462	17	48	3	12	63	68	41	6	6	25	14	10	132	17	0
Disability	24	0	0	0	1	0	4	8	0	0	0	4	1	5	1	0

**Actions Regarding Complaints**

<b>Complaint Withdrawn by Complainant</b>	<b>8</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>0</b>
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**Actions by Chief Circuit Judge**

<b>Matters returned from Judicial Council</b>	<b>0</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
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**Table S-22 (September 30, 2021—Continued)**

Summary of Activity	Circuit														National Court[1]	
	Total	Fed	DC	1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th	11th	CC	CIT
<b>Complaints Dismissed in Whole or in Part[6]</b>	<b>1,402</b>	<b>23</b>	<b>66</b>	<b>25</b>	<b>105</b>	<b>106</b>	<b>205</b>	<b>157</b>	<b>182</b>	<b>89</b>	<b>87</b>	<b>116</b>	<b>27</b>	<b>186</b>	<b>28</b>	<b>0</b>
Not Misconduct or Disability	71	0	0	7	0	0	4	4	21	10	14	10	0	1	0	0
Merits-Related	1,039	18	45	21	98	98	177	127	105	75	38	79	24	132	2	0
Frivolous	338	0	0	5	0	99	2	72	116	18	26	0	0	0	0	0
Allegations Lack Sufficient Evidence	950	15	55	19	85	106	198	92	24	29	57	74	21	173	2	0
Allegations Incapable of Being Established	3	0	0	0	0	2	0	1	0	0	0	0	0	0	0	0
Filed in Wrong Circuit	31	5	0	0	0	0	0	0	0	0	0	1	0	0	25	0
Otherwise Not Appropriate	7	0	0	0	0	0	0	0	3	3	0	0	0	1	0	0
<b>Complaints Concluded in Whole or in Part[6]</b>	<b>18</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>7</b>	<b>0</b>	<b>0</b>
Informal Resolution Before Complaint Filed	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Voluntary Corrective Action Taken	2	0	0	0	0	0	1	0	0	0	1	0	0	0	0	0
Intervening Events	16	0	3	0	3	0	0	0	2	0	1	0	0	7	0	0
<b>Complaint Referred to Special Committee</b>	<b>4</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Actions by Special Committees</b>																
Matter Returned From Judicial Council	1	0	0	0	0	0	0	0	0	0	0	0	1	0	0	0
New Matter Referred to Chief Judge	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Judicial Council Proceedings</b>																
Matter returned from Judicial Conference	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Complaint Transferred From Another Circuit	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Complaint Transferred to Another Circuit	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Special Committee Reports Submitted to Judicial Council	2	0	0	0	0	2	0	0	0	0	0	0	0	0	0	0
Received Petition for Review	459	15	10	8	54	30	67	63	63	26	40	14	3	65	1	0

**Table S-22 (September 30, 2021—Continued)**

Summary of Activity	Circuit														National Court[1]	
	Total	Fed	DC	1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th	11th	CC	CIT
<b>Action on Petition for Review</b>																
Petition for Review Withdrawn	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Chief Judge's Disposition Affirmed and Petition Denied	478	15	10	16	58	34	66	63	65	24	17	39	7	62	2	0
Matter Returned to Chief Judge	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Matter Returned to Chief Judge for Appointment of Special Committee	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Received Special Committee Report	2	0	0	0	0	2	0	0	0	0	0	0	0	0	0	0
<b>Action on Special Committee Report</b>																
	2	0	0	0	0	2	0	0	0	0	0	0	0	0	0	0
<b>Complaints Dismissed [6]</b>																
Not Misconduct or Disability	2	0	0	0	0	2	0	0	0	0	0	0	0	0	0	0
Merits Related	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Allegations Lack Sufficient Evidence	2	0	0	0	0	2	0	0	0	0	0	0	0	0	0	0
Otherwise Not Appropriate	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Complaints with Corrective Action Taken or Intervening Events</b>																
	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Complaints Referred to Judicial Conference</b>																
	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Complaints with Remedial Action Taken [7]</b>																
Censure or Reprimand	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Suspension of Assignments	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Action Against Magistrate Judge	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Removal of Bankruptcy Judge	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Requesting of Voluntary Retirement	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Certifying Disability of Circuit or District Judge	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

**Table S-22 (September 30, 2021—Continued)**

Summary of Activity	Circuit														National Court[1]	
	Total	Fed	DC	1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th	11th	CC	CIT
<b>Additional Investigation Warranted</b>																
Returned to Special Committee	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Retained by Judicial Council	0	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>Complaints Terminated as Final Between 10/1/2020 and 9/30/2021</b>	<b>1,436</b>	<b>23</b>	<b>71</b>	<b>28</b>	<b>112</b>	<b>111</b>	<b>212</b>	<b>159</b>	<b>185</b>	<b>90</b>	<b>64</b>	<b>136</b>	<b>27</b>	<b>189</b>	<b>29</b>	<b>0</b>
<b>Complaints Pending on 9/30/2021</b>	<b>563</b>	<b>1</b>	<b>19</b>	<b>25</b>	<b>41</b>	<b>21</b>	<b>25</b>	<b>23</b>	<b>94</b>	<b>29</b>	<b>54</b>	<b>102</b>	<b>47</b>	<b>56</b>	<b>26</b>	<b>0</b>

[1] CC = U.S. Court of Federal Claims; CIT = U.S. Court of International Trade.

[2] Revised

[3] Number of complainants may not total the number of filings because each complaint may have multiple complainants.

[4] This does not represent a count of individual judges since a judge can be the subject of multiple complaints.

[5] Each Complaint may involve multiple allegations. Changes to the items appearing under "Nature of Allegations" reflect recent amendments to the Rules for Judicial-Conduct and Judicial-Disability Proceedings and track the Rules language and provisions directly.

[6] Each complaint may have multiple reasons for dismissal.

[7] Each complaint may have multiple remedial actions.