

Guide to Judiciary Policy

Vol. 10: Public Access and Records
Ch. 6: Records Management

Appx. 6B: Records Disposition Schedule 2

This schedule covers the disposition of the records of the United States district courts, bankruptcy courts, territorial district courts, Judicial Panel on Multidistrict Litigation, U.S. Court of Federal Claims and predecessor courts, U.S. Court of International Trade, and the Special Court, Regional Rail Reorganization Act of 1973.

This mandatory schedule has been approved by the Judicial Conference of the United States and the Archivist of the United States. It applies to existing records of these courts, councils, and conferences, except the personal files of judges. The Archivist of the United States retains the authority, as part of the National Archives and Records Administration (NARA), to accession any records having historical or other value upon the expiration of the retention period specified in this schedule. **See:** [44 U.S.C. chapter 21](#). Selection criteria for historical preservation will be developed and revised by NARA in consultation with court officials and other historical and academic parties.

To the extent that the retention period specified in this schedule may vary from any statutory provision, the longer period of retention, whether in the statute or schedule, applies. Records of historical value are designated “Permanent” in this schedule.

The judiciary retains legal custody of records physically transferred to the Federal Records Centers (FRC) for the storage and retrieval of records until final disposition, destruction, or accession to NARA. Once court records are transferred to NARA, they are in the Archivist’s legal custody.

When accessioning records to NARA, the judiciary references schedules using the NARA disposition citations. These appear in parentheses in the “Disposition” column.

The term “correspondence” as used in this schedule includes email. Email whose retention is required for more than three years under this schedule must be stored in a system outside the email program that is subject to records management requirements for the mandated retention period. When the retention period is three years or less, email may be retained inside the email program. The email system only serves as a recordkeeping system for general correspondence and email records that must be retained for three years or less from the date of creation. Email records that do not meet this criterion should be retained outside the email system.

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| A. CASE RECORDS. All records resulting from the docketing and processing of a case in a court that relate to that particular case. | |
| 1. Expunged records. | Temporary. Destroy upon entry of court order of expunction. (N1-021-86-1, Item A1) |
| 2. Sealed records. Those case records that have been sealed by court order while such court order is in effect. | |
| a. Records sealed for protection of the defendant under 18 U.S.C. § 5038 or 21 U.S.C. § 844(b) [repealed]. | Temporary. Destroy as directed by the court. Do not transfer to an FRC. (DAA-0021-2019-0003-0001) |
| b. Other temporarily sealed records. | Maintain and transfer to an FRC consistent with retention period for related case file. For sealed records later determined to be permanently sealed, FRCs will apply the instructions in Item c. (DAA-0021-2019-0003-0026) |
| c. Permanently sealed records. | Maintain at the court location in a separate file from the related case file. When the order sealing the records is vacated by the court, dispose of consistent with the relevant provisions of this schedule for the related case file. Permanently sealed records belonging to a case classified as temporary may be disposed of when the parent case file is eligible for destruction, even if the seal has not been vacated. (DAA-0021-2019-0003-0026) |
| 3. Docket sheets. | |
| a. Dockets of U.S. commissioners in petty offense cases. | Temporary. Cut off annually at end of calendar year in which |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| | <p>case closes. Destroy 5 years after final action.</p> <p>Note: U.S. Commissioners were replaced by U.S. Magistrates in 1969-1970</p> <p>(DAA-0021-2019-0003-0013)</p> |
| b. All other docket sheets. | <p>Permanent. Transfer to NARA when 25 years old. Machine readable tapes of dockets are to be transferred to NARA with complete documentation when cases are closed, and the records become inactive.</p> <p>(DAA-0021-2019-0003-0014)</p> |
| 4. Case indices. | <p>Permanent. Transfer to NARA when 25 years old. Machine readable tapes of indices are to be transferred to NARA with complete documentation when cases are closed, and the records become inactive.</p> <p>(DAA-0021-2019-0003-0015)</p> |
| 5. Judgment and order books. | <p>Permanent. Cut off annually at end of calendar year. Transfer to NARA 25 years after cutoff.</p> <p>(DAA-0021-2019-0003-0016)</p> |
| 6. Criminal case files , including transcripts and minutes. | |
| a. Case files dated 1969 or earlier. | <p>Permanent.</p> <p>Paper: Transfer records to NARA 15 years after close of case.</p> <p>(DAA-0021-2013-0005-0001)</p> <p>Electronic: Transfer to NARA 30 years after case closure.</p> |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| | (DAA-0021-2018-0002-0001) |
| b. Case files dated 1970 or later that were terminated during or after trial. | Permanent. Paper: Transfer records to NARA 15 years after close of case. (DAA-0021-2013-0005-0002) Electronic: Transfer to NARA 30 years after close of case. (DAA-0021-2018-0002-0002) |
| c. Non-trial criminal cases relating to treason and national security, including the following offense codes: 9740, 9751, 9752, 9753, 9754, 9755, 9770, 9780, 9790, 9791; or to embezzlement, fraud, or bribery by a public official, including offense codes 4350 and 7100. | Permanent. Paper: Transfer records to NARA 15 years after close of case. (DAA-0021-2013-0005-0003) Electronic: Transfer to NARA 30 years after close of case. (DAA-0021-2018-0002-0003) |
| d. Non-trial cases resulting in death penalty. | Permanent. Paper: Transfer records to NARA 15 years after close of case. (DAA-0021-2013-0005-0004) Electronic: Transfer to NARA 30 years after close of case. (DAA-0021-2018-0002-0004) |
| e. Any criminal case file determined by court officials or NARA to have historical value. | Permanent. Paper: Transfer records to NARA 15 years after close of case. |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| | (DAA-0021-2013-0005-0005) Electronic: Permanent. Transfer to NARA 30 years after close of case. (DAA-0021-2018-0002-0005) |
| f. Non-trial criminal cases that: | |
| (1) Result in sentences of 15 years or less (other than those selected as permanent in sections a–d above). | Temporary. Paper: Destroy 15 years after close of case. (DAA-0021-2013-0005-0006) Electronic: Temporary. Destroy 30 years after case closes. (DAA-0021-2025-0001-0001) |
| (2) Result in sentences between 15 and 30 years (other than those selected as permanent in sections a–d above). | Temporary. Paper: Destroy 30 years after close of case. (DAA-0021-2013-0005-0007) Electronic: Temporary. Destroy 30 years after case closes. (DAA-0021-2025-0001-0002) |
| (3) Result in life sentences (other than those selected as permanent in sections a–d above) or sentences longer than 30 years. | Temporary. Paper: Destroy 75 years after close of case. (DAA-0021-2013-0005-0008) Electronic: Destroy 75 years after close of case. (DAA-0021-2018-0002-0008) |
| g. Misdemeanor and petty offense proceedings conducted by U.S. magistrate judges in cases not assigned a | Temporary. |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| district court docket number. (Note: As used in this schedule, the term misdemeanor includes minor offenses before the Federal Magistrates Act of 1979.) | Paper: Destroy 5 years after close of case. (DAA-0021-2013-0005-0009) Electronic: Temporary. Destroy 30 years after case closes. (DAA-0021-2025-0001-0003) |
| h. All other case files not included above. | Temporary. Paper: Destroy 15 years after close of case. (DAA-0021-2013-0005-0010) Electronic: Temporary. Destroy 30 years after case closes. (DAA-0021-2025-0001-0004) |
| 7. Civil case files , including transcripts and minutes. | |
| a. Domestic relations, adoption, mental incompetency, and probate files of the District of Columbia as defined in Pub. L. No. 91-358 , and similar case files maintained by territorial district courts. | Permanent. Paper: Transfer records to NARA 15 years after close of case. (DAA-021-2013-0007-0001) Electronic: Transfer to NARA 30 years after close of case. (DAA-0021-2018-0002-0011) |
| b. Other civil case files. | |
| (1) Case files dated 1969 or earlier. | Permanent. Paper: Transfer records to NARA 15 years after close of case. (N1-021-10-2, Item 7b (1)) |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| | <p>Electronic: Transfer to NARA 30 years after close of case. (DAA-0021-2018-0002-0012)</p> |
| <p>(2) Case files dated 1970 or later that are multi-district litigations, class actions, or go to trial.</p> | <p>Permanent.</p> <p>Paper: Transfer records to NARA 15 years after close of case. (N1-021-10-2, Item 7b (2))</p> <p>Electronic: Transfer to NARA 30 years after close of case. (DAA-0021-2018-0002-0013)</p> |
| <p>(3) Civil cases with the following nature of suit codes:</p> <p>192 (State Fair Trade Act); 210 (Land Condemnation); 310 (Airplane); 315 (Airplane Product Liability); 371 (Truth-in-Lending); 400 (State Reapportionment); 410 (Anti-Trust); 430 (Banks and Banking); 440 (Civil Rights – Other); 441 (Civil Rights Voting Cases); 460 (Deportation); 461 (Deportation Review); 463 (Habeas Corpus – Alien Detainee); 470 (RICO); 535 (Prisoner Petition – Death Penalty); 710 (Fair Labor Standards Act); 730 (Labor Management Reporting and Disclosure Act); 740 (Railway Labor Act); 810 (Selective Service Act); 830 (Patent Cases); 862 (Black Lung); 880 (Review of Enforcement); 890 (Other Statutory Act); 892 (Economic Stabilization Act); 893 (Environmental Matters); 894 (Energy Allocation Act); 900 (Appeal Fee Determination); 910 (Domestic Relations); 930 (Probate); 950 (Constitutionally of State Statutes); 970 (Narcotic Addict Rehabilitation).</p> | <p>Permanent.</p> <p>Paper: Transfer records to NARA 15 years after close of case. (N1-021-10-2, Item 7b (3))</p> <p>Electronic: Transfer to NARA 30 years after close of case. (DAA-0021-2018-0002-0014)</p> |
| <p>(4) Civil cases that progress to or past the “issue joined” stage before closing with the following nature of suit codes:</p> <p>160 (Stockholder Suits); 191 (Employee Relations); 220 (Foreclosure); 240 (Torts to Land); 245 (Torts Product Liability – Real Property); 360 (Other Personal Injury); 362 (Medical Malpractice);</p> | <p>Permanent.</p> <p>Paper: Transfer records to NARA 15 years after close of case. (N1-021-10-2, Item 7b(4))</p> |

| Records Disposition Schedule 2 | |
|---|---|
| Type of Record | Disposition |
| <p>365 (Personal Injury – Product Liability); 367 (Torts-Personal Injury-Health Care/Pharmaceutical Personal Injury/Product Liability); 368 (Asbestos); 370 (Fraud); 442 (Civil Rights – Employment); 443 (Civil Rights – Housing/Accommodations); 444 (Civil Rights – Welfare); 445 (Americans with Disabilities Act – Employment); 446 (Americans with Disabilities Act – Other); 448 (Civil Rights-Education); 462 (Naturalization Application); 465 (Other Immigration Action); 480 (Consumer Credit); 490 (Cable/Satellite TC); 510 (Motions to Vacate Sentence); 530 (Prisoner Habeas Corpus); 540 (Prisoner Petitions – Mandamus); 550 (Civil Rights); 555 (Prison Conditions); 560 (Prisoner Petitions-Civil Detainee-Conditions of Confinement); 610 (Agricultural Penalty); 820 (Copyright); 850 (Securities and Exchange Commission); 891 (Agricultural Acts); 895 (FOIA); 899 (Administrative Procedure Act).</p> | <p>Electronic: Transfer to NARA 30 years after close of case. (DAA-0021-2018-0002-0015)</p> |
| <p>(5) Any civil case file determined by court officials or NARA to have historical value.</p> | <p>Permanent. Paper: Transfer records to NARA 15 years after close of case. (N1-021-10-2, Item 7b (5)) Electronic: Transfer to NARA 30 years after close of case. (DAA-0021-2018-0002-0016)</p> |
| <p>(6) All other case files not included above.</p> <p>100 (Admiralty); 110 (Insurance); 120 (Marine (Contract)); 130 (Miller Act); 140 (Negotiable Instrument); 150 (Recovery of Overpayment and Enforcement of Judgment); 151 (Recovery of Overpayment of Medicare); 152 (Student Loans); 153 (Veteran’s Benefits); 190 (Other Contracts); 193 (Hospital Care Act); 195 (Contract Product Liability); 196 (Franchise); 230 (Rent, Lease, Ejectment); 290 (All Other Real Property); 320 (Assault, Liable, and Slander); 330 (Federal Employers Liability); 340 (Marine</p> | <p>Temporary. Paper: Destroy 15 years after close of case. (N1-021-10-2, Item 7b (6)) Electronic: Temporary. Destroy 30 years after case closes. (DAA-0021-2025-0001-0005)</p> |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| <p>Personal Injury); 345 (Marine Products Liability); 350 (Motor Vehicle); 355 (Motor Vehicle Product Liability); 361 (Workmen’s Compensation); 375 (False Claims Act); 380 (Other Personal Property Damage); 385 (Property Damage Product Liability); 420 (Bankruptcy Trustee); 421 (Bankruptcy Transfer); 422 (Bankruptcy Appeal); 423 (Bankruptcy Withdrawal); 450 (Commerce); 625 (Drug-Related Seizure of Property); 630 (Liquor Laws); 640 (Railroad and Truck); 650 (Airline Regulations); 660 (Occupational Safety and Health); 690 (Other Forfeiture and Penalty Act); 720 (Labor-Management Relations); 750 (Welfare Pension Plan Disclosure); 751 (Family and Medical Leave Act); 790 (Other Labor Litigations); 791 (Employment Retirement Income Security Act); 840 (Trademark); 860 (Social Security); 861 (Social Security Health Insurance); 863 (Social Security Disability Insurance); 864 (Social Security Supplemental Security Income); 865 (Social Security Retirement and Survivors’ Benefits); 870 (Taxes); 871 (IRS Third Party); 875 (Customer Challenge); 896 (Other Statutes-Arbitration); 920 (Insanity); 940 (Substitute Trustee); 990 (Other); 992 (Local Jurisdictional Appeal).</p> | |
| <p>8. Case files of the former Court of Claims. The Federal Courts Improvement Act of 1982 transferred jurisdiction of trial cases to the U.S. Claims Court (later renamed the U.S. Court of Federal Claims) and jurisdiction of appeal cases to the U.S. Court of Appeals for the Federal Circuit.</p> | <p>Permanent.</p> <p>Paper: Transfer to NARA when 25 years old.</p> <p>(N1-021-86-1, Item A8)</p> |
| <p>9. Case files of the United States Court of International Trade. (Having nationwide jurisdiction over civil actions arising out of the customs and international trade law of the United States under 28 U.S.C. §§ 251-258, 1581-1585, and 2631-2647). Case files dealing with antidumping, countervailing duties, trade adjustment assistance, and other trade and customs-related civil actions.</p> | |
| <p>a. Case files with public versions of slip opinions, trial case files, or case files determined by court officials or NARA to have historical value.</p> | <p>Permanent. Cutoff when decision is issued. Transfer paper records to NARA 30 years after cutoff.</p> <p>(DAA-0321-2020-0001-0001)</p> |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| b. Corporate or privately held confidential non-public content embedded in slip opinions or in case files. | Temporary. Destroy 30 years after date of decision or when no longer in active use by the court, whichever is later. FRC transfer is not authorized. (DAA-0321-2020-0001-0002) |
| c. Test case files without slip opinions, non-trial cases, or cases decided upon stipulation or agreement between the parties. | Temporary. Destroy 15 years after date of decision or when no longer in active use by the court, whichever is later. (DAA-0321-2020-0001-0003) |
| d. Cases not listed above where the matter was closed by voluntary dismissal or lack of prosecution. | Temporary. Destroy 5 years after date of decision. (DAA-0321-2020-0001-0004) |
| <p>10. Case files for the United States Court of Federal Claims (formerly known as the United States Claims Court).</p> <p>The United States Court of Federal Claims was established by Congress in 1982, with nationwide jurisdiction over various money claims against the United States, in excess of \$10,000 (28 U.S.C. § 1346 and § 1491). The court hears suits involving government contracts, constitutional claims, tax refunds, Indian claims, civilian and military pay claims, patents and copyright matters, and vaccine injury claims. The court is also authorized to review agency decisions under various federal compensation programs.</p> <p>For purposes of this schedule, “close of case” occurs on the date of entry of final judgment that is not appealable. See: 28 U.S.C. § 2412(d)(2)(G) (“final judgment . . . means a judgment that is final and not appealable and includes an order of settlement”).</p> | |
| a. Cases dealing with Indian claims. Records resulting from the docketing and processing of materials in court and case files relating to Indian claims. | Permanent. Paper: Transfer to NARA 20 years after close of case. (N1-502-11-1, Item 10a) |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| | <p>Electronic: Transfer to NARA 30 years after close of case.</p> <p>(DAA-0502-2018-0001-0001)</p> |
| b. Vaccine case files. | |
| (1) Historically significant vaccine case files. Any vaccine case file determined by a judge, special master, court official or NARA to have historical value. | <p>Permanent.</p> <p>Paper: Transfer to NARA 20 years after close of case.</p> <p>(N1-502-11-1, Item 10b1)</p> <p>Electronic: Transfer to NARA 30 years after close of case.</p> <p>(DAA-0502-2018-0001-0002)</p> |
| (2) All other vaccine case files not deemed to have historic value. | <p>Temporary. Destroy 10 years after close of case.</p> <p>(N1-502-11-1, Item 10b2)</p> <p>Electronic: Temporary. Destroy 30 years after case closes.</p> <p>(DAA-0502-2025-0001-0001)</p> |
| c. General jurisdiction case files. Records resulting from the docketing and processing of materials in court relating to general cases. | |
| (1) Case files that went to trial and terminated during or after trial. | <p>Permanent.</p> <p>Paper: Transfer to NARA 20 years after close of case.</p> <p>(N1-502-11-1, Item 10c1)</p> <p>Electronic: Transfer to NARA 30 years after close of case.</p> <p>(DAA-0502-2018-0001-0004)</p> |
| (2) Historically significant general jurisdiction case files. Any case file determined by a judge, court official or | <p>Permanent.</p> |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| NARA to have historical value. | Paper: Transfer to NARA 20 years after close of case. (N1-502-11-1, Item 10c2) Electronic: Transfer to NARA 30 years after close of case. (DAA-0502-2018-0001-0005) |
| (3) All other general jurisdiction case files not deemed to have historic value. | Temporary. Destroy 10 years after close of case. (N1-502-11-1, Item 10c3) Electronic: Temporary. Destroy 30 years after case closes. (DAA-0502-2025-0001-0002) |
| d. Congressional reference cases. Congressional bills referred to the chief judge of the United States Court of Federal Claims for investigation and report to the appropriate house of Congress, as authorized by 28 U.S.C. § 1492 and § 2509 . | |
| (1) Congressional reference case files. Records resulting from the docketing and processing of materials in court relating to investigation of bills, as requested by Congress. | Permanent. Paper: Transfer to NARA 20 years after close of case. (N1-502-11-1, Item 10d1) Electronic: Transfer to NARA 30 years after close of case. (DAA-0502-2018-0001-0007) |
| (2) Congressional reference review panel reports. Copies of the chief judge's memorandum transmitting the review panel's final report of Congressional Reference cases. | Permanent. Paper: Transfer to NARA 20 years after close of case. (N1-502-11-1, Item 10d2) Electronic: Transfer to NARA 30 years after close of case. |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| | (DAA-0502-2018-0001-0008) |
| e. Sealed Materials. Documents containing confidential information, including personal identifiers. | Process according to the records disposition schedule for the corresponding case file. Sealed documents within permanent case files must be unsealed before legal transfer to NARA. |
| 11. Case files of the Special Court, Regional Rail Reorganization Act of 1973. | Permanent. Transfer to NARA when 25 years old. (N1-021-86-1, Item A11) |
| 12. Territorial district court case files. | Superseded by Item A(7) Civil case files. |
| 13. Miscellaneous case files. Ancillary and supplementary proceedings not defined as civil actions including but not restricted to papers relating to foreign depositions, denial of prisoner in forma pauperis, grand jury witness immunity proceedings, and actions to enforce administrative subpoenas, <i>filed separately from civil and criminal files.</i> | |
| a. Any miscellaneous case file that NARA has determined in consultation with court officials to have historical value. | Permanent. Paper: Transfer to NARA when 25 years old. (N1-021-86-1, Item A13a) Electronic: Transfer to NARA 30 years after close of case. (DAA-0021-2018-0002-0018) |
| b. Grand jury proceedings. Note: The recordings or reporters' notes, or any transcript prepared from them, <i>remain in the custody or control of the attorney for the government unless otherwise ordered by the court in a particular case.</i> Notes taken by grand jurors are non-records and may be destroyed as necessary to prevent unauthorized disclosure. Federal Rule of Criminal Procedure 6(e)(1)-(2). | Temporary. Destroy as directed by the court. (N1-021-86-1, Item A13b) |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| c. Records concerning registration of documents to be used in foreign legal proceedings that have been assigned a miscellaneous case number. | Disposition not authorized. A legal authority allowing disposition of this record has not yet been approved. These records must be retained (cannot be disposed of) until an authority is approved. |
| d. Attorney disbarment proceedings. Records may be reciprocal or original. Records may consist of orders from another state or federal court, correspondence, orders, motions, notices to the attorney, notices of hearings, and briefs. | Permanent. Transfer to NARA 25 years after proceeding complete. (DAA-0021-2019-0003-0006) |
| e. All other miscellaneous case files not specifically mentioned in 13a–d. | Temporary. Paper: Destroy 10 years after date of last action. (N1-021-86-1, Item A13e) Electronic: Temporary. Destroy 30 years after date of last action. (DAA-021-2025-0001-0006) |
| 14. Bankruptcy case files. | |
| a. Cases dated 1940 or earlier. | Permanent. Paper: Transfer records to NARA 15 years after close of case. (N1-578-11-1, Item 14(1)a) Electronic: Transfer to NARA 30 years after close of case. (DAA-0578-2018-0001-0001) |
| b. Cases filed under the Bankruptcy Acts of 1800, 1841, and 1867. | Permanent. Paper: Transfer records to NARA 15 years after close of case. (N1-578-11-1, Item 14(1)b) |

| Records Disposition Schedule 2 | |
|---|---|
| Type of Record | Disposition |
| | <p>Electronic: Permanent. Transfer to NARA 30 years after close of case.</p> <p>(DAA-0578-2018-0001-0002)</p> |
| <p>c. Cases filed under the following chapters or subchapters of the Bankruptcy Acts of 1898 and 1978:</p> <ul style="list-style-type: none"> • Chapter VIII, Section 75 (Agricultural) • Chapter VIII, Section 77 (Railroad Reorganization) • Chapter IX (Political Subdivisions) • Chapter X (Corporate Reorganizations) • Chapter XV (Railroad Adjustments) • Chapter 7, Subchapter III (Stockbroker) and IV (Commodity Broker) • Chapter 9 (Municipality) • Chapter 11, Subchapter IV (Railroad Reorganization) • Case files containing orders issued by a court under Chapter XIV of the Act of 1898 or Section 908 of Title IX of the Merchant Marine Act. | <p>Permanent.</p> <p>Paper: Transfer records to NARA 15 years after close of case.</p> <p>(N1-578-11-1, Item 14(1)c)</p> <p>Electronic: Transfer to NARA 30 years after close of case.</p> <p>(DAA-0578-2018-0001-0003)</p> |
| <p>d. Cases filed under Chapter 12 of the Congressional Act of 1986, covering family farms and family fishermen.</p> | <p>Permanent.</p> <p>Paper: Transfer records to NARA 15 years after close of case.</p> <p>(N1-578-11-1, Item 14(1)d)</p> <p>Electronic: Transfer to NARA 30 years after close of case.</p> <p>(DAA-0578-2018-0001-0004)</p> |
| <p>e. Any bankruptcy case determined by court officials or NARA to have historical value.</p> | <p>Permanent.</p> <p>Paper: Transfer records to NARA 15 years after close of case.</p> <p>(N1-578-11-1, Item 14(1)e)</p> <p>Electronic: Transfer to NARA 30 years after close of case.</p> |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| | (DAA-0578-2018-0001-0005) |
| f. All other case files. | |
| (1) 2.5% of non-trial case files retired by each district each year. | <p>Permanent.</p> <p>Paper: Sampling will be done at the box level. Transfer records to NARA 15 years after close of case.</p> <p>(N1-578-11-1, Item 14(1)f(1))</p> <p>Electronic: Sampling will be done at the file level. Transfer to NARA 30 years after close of case.</p> <p>(DAA-0578-2018-0001-0006)</p> |
| (2) Case files exclusive of 14a–e, and not selected per 14f (1). | <p>Temporary.</p> <p>Paper: Destroy 15 years after close of case.</p> <p>(N1-578-11-1, Item 14(1)f(2))</p> <p>Electronic: Temporary. Destroy 30 years after case closes.</p> <p>(DAA-0578-2025-0001-0001)</p> |
| g. Adversary proceedings files. | |
| (1) Proceedings terminated during or after trial. | <p>Permanent.</p> <p>Paper: Transfer records to NARA 15 years after close of case.</p> <p>(N1-578-11-1, Item 14(1)g(1))</p> <p>Electronic: Transfer to NARA 30 years after close of case.</p> <p>(DAA-0578-2018-0001-0008)</p> |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| (2) Proceedings files determined by court officials or NARA to have historical value. | <p>Permanent.</p> <p>Paper: Transfer records to NARA 15 years after close of case.</p> <p>(N1-578-11-1, Item 14(1)g(2))</p> <p>Electronic: Transfer to NARA 30 years after close of case.</p> <p>(DAA-0578-2018-0001-0009)</p> |
| (3) Proceedings files containing orders or judgments affecting title to or lien on real property entered before Aug. 1, 1983, not included under items retention g(1) or g(2), above. | <p>Temporary.</p> <p>Paper: After close of case the court determines when to transfer to the FRC. Destroy 40 years after case closure.</p> <p>(N1-578-11-1, Item 14(1)g(3))</p> <p>Electronic: Temporary. Destroy 30 years after case closes.</p> <p>(DAA-0578-2025-0001-0002)</p> |
| (4) All other proceedings files. | |
| (a) 2.5% of non-trial case files retired by each district each year. | <p>Permanent.</p> <p>Paper: Sampling will be done at the box level. Transfer records to NARA 15 years after close of case.</p> <p>(N1-578-11-1, Item 14(1)g(4)(a))</p> <p>Electronic: Sampling will be done at the file level. Transfer to NARA 30 years after close of case.</p> <p>(DAA-0578-2018-0001-0011)</p> |
| (b) Proceedings files exclusive of g (1)–(3), and not selected in the sample taken per g(4)(a). | <p>Temporary.</p> |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| | <p>Paper: Destroy 15 years after close of case.</p> <p>(N1-578-11-1, Item 14(1)g(4)(b))</p> <p>Electronic: Temporary. Destroy 30 years after case closes.</p> <p>(DAA-0578-2025-0001-0003)</p> |
| 15. Other bankruptcy records. | |
| a. Bankruptcy claims registers, if maintained separately. | <p>Temporary. Destroy when 20 years old.</p> <p>(N1-021-86-1, Item A15a)</p> |
| b. Records of the operations of trustees under Chapter XIII of the Bankruptcy Act of 1898 and Chapter 13 of the Bankruptcy Act of 1978 generated by computer whether or not maintained separately from the case file. | <p>Temporary. Destroy when 20 years old.</p> <p>(N1-021-86-1, Item A15b)</p> |
| c. Judgment and order records, if kept separately. | <p>Permanent. Transfer to NARA when 25 years old.</p> <p>(N1-021-86-1, Item A15c)</p> |
| d. Orders of court directing deposit of monies in the Treasury of the United States under 28 U.S.C. § 2042 , together with lists of the names and addresses of persons entitled to such monies. | <p>Disposition not authorized. A legal authority allowing disposition of this record has not yet been approved. These records must be retained (cannot be disposed of) until an authority is approved.</p> |
| e. Bankruptcy miscellaneous proceedings and records. Content includes a variety of bankruptcy miscellaneous proceedings, administrative actions, or court record transactions not specifically part of, or filed in, a bankruptcy case or adversary proceeding. These contain, but are not limited to: <ul style="list-style-type: none"> • Requests to register a judgment in another district; • Motions for protective order or to quash a subpoena for a case pending in another district; • Requests to perpetuate testimony concerning a potential adversary proceeding under Fed. R. Bankr. P. 7027; | <p>Temporary. Destroy 15 years after date of issuance or 15 years after date of last action, whichever is later.</p> <p>(DAA-0578-2013-0001-0001)</p> |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| <ul style="list-style-type: none"> • Requests to register a discharge order under Fed. R. Bankr. P. 4004(f); • Applications for writ of habeas corpus; • Case Management/Electronic Case File (CM/ECF) exemption and revocation privileges; • PACER-related access requests; • Cases transferred for limited purposes; • Any other miscellaneous court filing, proceeding, notice, or request not part of a bankruptcy case or an adversary proceedings file, or not identified by the court as ineligible for destruction, or not a record covered by another bankruptcy records disposition schedule authority. | |
| f. Attorney disbarment proceedings. Records may be reciprocal or original. Records may consist of orders from another state or federal court, correspondence, orders, motions, notices to the attorney, notices of hearings, and briefs. | Permanent. Transfer to NARA 25 years after proceeding complete. (DAA-0578-2013-0001-0002) |
| 16. Violation notices. | Temporary. Cut off after forfeiture or dismissal. Destroy 90 days after posting and forfeiture of collateral or dismissal. (DAA-0021-2019-0003-0017) |
| 17. Probation and pretrial files. | |
| a. Supervision case files including investigation and supervision data. | Temporary. Cut off upon termination of supervision. Destroy 20 years after cutoff. (DAA-0021-2019-0003-0018) |
| b. Investigation files on individuals not under supervision of probation office, including files of pretrial services agencies. | Temporary. Cut off after completion of investigation. Destroy 20 years cutoff. (DAA-0021-2019-0003-0019) |
| c. Pretrial diversion case files. | Temporary. Cut off on termination of supervision. Destroy 20 years after cutoff. (DAA-0021-2019-0003-0025) |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| 18. Records of hearings. | |
| a. Original court reporters' notes of proceedings, 28 U.S.C. § 753(b) . | |
| (1) Electronic sound recordings of arraignments, pleas, and proceedings in connection with the imposition of sentence in non-death penalty criminal cases assigned district court docket numbers and filed with the clerk of court in lieu of transcript. Note: When several types of cases or proceedings are included on a single tape, the recording should be retained for the longest period prescribed for any case or proceeding on the tape. | Temporary. Destroy 20 years after close of case. (DAA-0021-2013-0004-0001) |
| (2) Notes or electronic sound recordings covering criminal death penalty cases or civil capital habeas trial cases assigned district court docket numbers and filed with the clerk of court in lieu of transcript. Note: When several types of cases or proceedings are included on a single tape, the recording should be retained for the longest period prescribed for any case or proceeding on the tape. | Permanent. Transfer to NARA 20 years after close of case or when no longer needed by the court, whichever is later. (DAA-0021-2013-0004-0002) |
| (3) All other original notes or recordings. Note: When several types of cases or proceedings are included on a single tape, the recording should be retained for the longest period prescribed for any case or proceeding on the tape. | Temporary. Destroy when 10 years old. (DAA-0021-2013-0004-0003) |
| b. Tape logs. Note: When several types of cases or proceedings are included on a single tape, the recording should be retained for the longest period prescribed for any case or proceeding on the tape. | Temporary. File with original tape recordings of the proceedings and destroy the logs when the tapes are destroyed. (N1-021-86-1, Item A18b) |
| c. Electronic sound recordings of magistrate judge proceedings in: | |
| (1) Misdemeanor cases (above the level of petty offenses) not assigned district court docket numbers. Note: When several types of cases or proceedings are included on a single tape, the recording should | Temporary. Destroy when 5 years old (tapes may be erased and reused). (N1-021-86-1, Item A18c (1)) |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| <p>be retained for the longest period prescribed for any case or proceeding on the tape.</p> | |
| <p>(2) Petty offense cases not assigned district court docket numbers.</p> <p>Note: When several types of cases or proceedings are included on a single tape, the recording should be retained for the longest period prescribed for any case or proceeding on the tape.</p> | <p>Temporary. Destroy when 1 year old (tapes may be erased and reused).</p> <p>(N1-021-86-1, Item A18c (2))</p> |
| <p>(3) Civil cases.</p> <p>Note: When several types of cases or proceedings are included on a single tape, the recording should be retained for the longest period prescribed for any case or proceeding on the tape.</p> | <p>Temporary. Destroy when 10 years old.</p> <p>(N1-021-86-1, Item A18c (3))</p> |
| <p>d. Sound recordings and supporting records of audio bankruptcy recordings. (Note: Previous versions of this schedule required courts to retain electronic sound recordings of first meeting of creditors, but that item was removed based on a determination that they were not court records and should instead be maintained by United States trustees, who are required under 11 U.S.C. § 341 to convene and preside at these meetings.)</p> | |
| <p>(1) Inventory, indices, spreadsheet, database, or other form of register used to locate bankruptcy proceeding sound recordings.</p> | <p>Temporary. Destroy when the corresponding collection of sound recordings is destroyed or when no longer needed for reference purposes, whichever is later.</p> <p>(GRS 4.1, Item 010)</p> |
| <p>(2) Digital sound recording files (e.g., MP3, WAV) and the corresponding digital log notes, when applicable, of bankruptcy hearings maintained in portable computer storage media (e.g., USB drives, flash drives, DVD, CD-ROM), a network storage location, cloud storage, SharePoint storage, or computer system other than CM/ECF.</p> | <p>Temporary. Destroy 30 years after creation, or when no longer needed for reference purposes, whichever is later.</p> <p>(DAA-0578-2022-0001-0002)</p> |
| <p>(3) Digital sound recording files (e.g., MP3, WAV) of bankruptcy hearings stored in CM/ECF.</p> | <p>Maintain consistent with the retention period of the electronic case that includes the docketed</p> |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| | audio file. See: Items A14 and A15 . |
| 19. Judicial Panel on Multidistrict Litigation (JPML). The JPML was established in 1968 under 28 U.S.C. § 1407 , which authorizes the Panel to transfer civil actions involving one or more common questions of fact in different districts to a single district for coordinated or consolidated pretrial proceedings. Also, under 28 U.S.C. § 2112 , the Panel is authorized to consolidate multi-circuit petitions for review in a single circuit. | |
| a. JPML case files and related materials. | |
| (1) JPML case files. Case files related to motions for coordinated or consolidated pretrial proceedings regarding two or more civil actions filed in different judicial districts and concerned with one or more common questions of fact. The files include official pleadings, correspondence, orders of the JPML, transcripts, and other required documentation related to JPML hearings. | Temporary. Paper: Cut off case file at the end of the calendar year when case is closed. Destroy 15 years after the cutoff year. (N1-482-11-1, Item 19a1) Electronic: Temporary. Destroy 30 years after case closes. (DAA-0482-2025-0001-0001) |
| (2) Docket sheets. | Permanent. Transfer to NARA 20 years after close of case. (N1-482-11-1, Item 19a2) |
| (3) Historically significant JPML case files. Any JPML case file determined by the Clerk of the Panel for the JPML, or NARA, to have historical value. | Permanent. Paper: Cut off case file at the end of the calendar year when closed. Transfer to NARA 20 years after the cut off year. (N1-482-11-1, Item 19a3) Electronic: Cut off case file at the end of the calendar year when closed. Transfer to NARA 30 years after the cut off year. (DAA-0482-2018-0001-0002) |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| (4) Sealed records. Copies of sealed materials originally received by the district courts and also provided to the JPML for temporary use and reference purposes. | Temporary. Destroy, or return to the district court, when no longer needed for reference purposes. (N1-482-11-1, Item 19a4) |
| (5) Orders files. Duplicate judges' orders, where the official record copy is filed with the corresponding JPML case file. This separate paper collection was used for reference purposes and contains materials from 1967 through 2010, organized by year and month of issuance. It is a closed series. JPML staff no longer use or require these reference files. | Temporary. The records are available for immediate destruction. (N1-482-11-1, Item 19a5) |
| b. JPML administrative and operational files. | |
| (1) General inquiries, handled by JPML Executive or Clerk, regarding procedures, class actions, and multi-district litigation in general. Consist mostly of responses to prison inmates, and/or members of the public (pro se litigants), whose inquiries are outside the scope, procedures, and/or jurisdiction of the JPML. | Temporary. Cut off at the end of the calendar year when the response to the inquiry was issued. Destroy 1 year after cutoff, or when no longer needed for reference purposes, whichever is later. (N1-482-11-1, Item 19b1) |
| (2) General procurement and financial files, including related correspondence regarding the acquisition of goods and services required by the JPML. | Temporary. Cut off at the end of the fiscal year. Destroy 7 years after the cutoff year. (N1-482-11-1, Item 19b2) |
| (3) Attorney bench memoranda. Internal attorney work products, including background and case analyses and recommendations for the dispensation of JPML petitions. | Temporary. Destroy 3 years after issuance, or when no longer needed for reference purposes, whichever is later. (N1-482-11-1, Item 19b3) |
| (4) JPML (transferee) judges' conference content files. Planning documents, itineraries, travel information, hotel, air and ground transportation, and other logistical materials created for the annual JPML Transferee Judges' Conference. | Temporary. Destroy 2 years after issuance, or when no longer needed for reference purposes, whichever is later. (N1-482-11-1, Item 19b4) |
| (5) Panel hearing correspondence files. Schedules and agenda for the hearing sessions, copies of orders, notices of presentation of waiver of oral argument, | Temporary. Destroy 2 years after issuance, or when no |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| and correspondence regarding the security coverage for the panel. | longer needed for reference purposes, whichever is later. (N1-482-11-1, Item 19b5) |
| (6) Rules, policies, and procedures. Records and documentation describing JPML's local rules and internal policies and procedures. | Permanent. Transfer to NARA when superseded or no longer needed for reference purposes. (N1-482-11-1, Item 19b6) |
| (7) Master docket reading files. Reference copies of letters, memoranda, publications, and other JPML documents that are maintained on-site as a reading file. | Temporary. Destroy when no longer needed for reference purposes. (N1-482-11-1, Item 19b7) |
| B. MISCELLANEOUS RECORDS OF PROCEEDINGS. | |
| 1. Minute sheets. Courts are encouraged to file minute sheets in the case file. | Permanent, if maintained outside the case file. Cut off annually at end of calendar year. Transfer to NARA 25 years after cutoff. (DAA-0021-2019-0003-0020) |
| 2. Juror selection records. Jury Management System/eJuror. Standardized, fully integrated automated jury management system to serve the Federal district courts. The master wheel includes source data from voter lists, drivers' licenses, and other source lists as dictated by the Jury Plan. Update cycle depends on local Jury Plan for Master Wheel Refill (1 to 4 years). | Temporary. Destroy 4 years after the master jury wheel has been emptied and refilled and all persons selected have completed jury service, unless extended by the court. 28 U.S.C. § 1868 . Do not transfer to an FRC. (N1-021-86-1, Item B2) |
| 3. Attorney admission records. | |
| a. Rolls of attorneys admitted to practice. Records may consist of application letters, admission cards or computer listings, background materials, and records of court proceedings. | Permanent. Cut off annually upon admission. Transfer to NARA 25 years after cutoff. (DAA-0021-2019-0003-0003) |
| b. Records relative to disciplinary actions. Records may consist of orders from another state or federal court, correspondence, orders, motions, notices to the | Temporary. Destroy 50 years after action complete. |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| attorney, notices of hearings, and briefs. | (DAA-0021-2019-0003-0004) |
| c. Other records, including applications not approved for admission and applications for routine actions such as access to PACER or fee waivers. | Temporary. Cut off annually when action is complete. Destroy 5 years after cutoff. (DAA-0021-2019-0003-0005) |
| 4. Naturalization records. Records contain materials such as: petitions for naturalization, monthly naturalization reports and correspondence, petitions for name changes, orders granting petitions for name changes, declarations of intentions, naturalization certificates, and indexes to certificates, 8 U.S.C. § 1450 . | Permanent. Cut off annually at end of calendar year. Transfer to NARA 25 years after cutoff. (DAA-0021-2019-0003-0021) |
| 5. Notice of federal tax liens. | Temporary. Destroy 6 years and 30 days after the date of assessment indicated on the notice. (DAA-0021-2019-0003-0022) |
| 6. Appointment of process agents by surety companies. | Temporary. Destroy 7 years after the resident agent is terminated by reason of resignation, death, disability, removal, or other cause, or 15 years after the power of attorney is filed when the filer does not object to the destruction within 30 days of written notice being sent to the filer, whichever occurs first. (DAA-0021-2020-0002-0001) |
| 7. Security copies of Certificates of Electors Voting for President and Vice President deposited with the judge of the district in which the electors assembled under 3 U.S.C. §§ 11 and 13 . | Temporary. Destroy when 6 months old. (DAA-0021-2019-0003-0024) |
| 8. Judicial Conduct and Disability records. Complaints filed under the Judicial Conduct and Disability Act (28 U.S.C. §§ 351-364), alleging that a judge committed misconduct or is disabled. Items B.8.a. and B.8.b. relating to proceedings under the Judicial Conduct and Disability Act are confidential, and therefore disclosure-restricted, to the extent required by 28 U.S.C. § 360 and | |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| by Rules 23 and 24 of the Judicial Conference Rules for Judicial-Conduct and Judicial-Disability Proceedings . | |
| a. Orders, memoranda of decision, and any reports or other items included with them, issued by a Chief Judge, a circuit judicial council, the United States Judicial Conference Committee on Judicial Conduct and Disability and its predecessors and successors, or the Judicial Conference of the United States, in any proceeding under the Judicial Conduct and Disability Act (as codified in 28 U.S.C. §§ 351-364 or elsewhere), the Judicial Conference Rules for Judicial-Conduct and Judicial-Disability Proceedings, and any other associated rules. | Permanent. Transfer records to NARA 10 years after final decision or action or when no longer needed for reference purposes, whichever is later. (DAA-0021-2013-0003-0001) |
| b. Interim and miscellaneous materials not listed in Item (a) nor attached to a document listed in Item (a), that are created or received in any proceedings under the Judicial Conduct and Disability Act (as codified in 28 U.S.C. §§ 351-364 or elsewhere), the Judicial Conference Rules for Judicial-Conduct and Judicial-Disability Proceedings, and any other associated rules. These materials may include but are not limited to: complaints, petitions for review and associated responses, special committee reports, notes, correspondence, and other items created or received during an inquiry or investigation. | Temporary. Destroy 5 years after final disposition of complaint or when no longer needed for reference purposes, whichever is later. (DAA-0021-2013-0003-0002) |
| 9. Local rules. Records relating to the issuance of rules for the conduct of court business under 28 U.S.C. § 2071 . Records include final external notices, public comments, final orders, and official publications. | Permanent. Cut off when rule is approved. Transfer to the National Archives 15 years after cutoff. (DAA-0021-2019-0005-0001) |
| 10. Court-wide standing orders (including general orders and administrative orders of the court). Orders describing administrative policies, procedural matters, and guidance, not case-specific or judge-specific preference orders, court or division-wide in scope. Records include the final order and may be accompanied by back-up documentation and/or execution instructions. | |
| a. Orders issued by bankruptcy courts. | Permanent. Transfer to NARA 15 years after superseded or abrogated. |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| | (DAA-0021-2023-0001-00001) |
| b. Orders issued by district courts or courts of special jurisdiction. | Permanent. Transfer to NARA 15 years after superseded or abrogated. (DAA-0021-2023-0001-00002) |
| C. ADMINISTRATIVE RECORDS. | |
| <p>1. Local unofficial personnel/supervisor files. Local personnel files include correspondence, forms, and other records relating to positions, authorizations, pending actions, position descriptions, requests for personnel action; fingerprint and background check records; and other records on individual employees duplicated in or not appropriate for the Official Personnel File (OPF).</p> <p>Note: Records that become part of a grievance file, an appeal or discrimination complaint file, a performance-based reduction-in-grade or removal action, or an adverse action are covered under RDS 2, Item C.3.b.</p> <p>Note: This item excludes employee medical documents unless they are part of the employee’s initial request for reasonable accommodation. Following approval, the agency’s reasonable accommodation decision replaces medical documentation and becomes the record. Reasonable accommodation employee case files are covered under RDS 1, Item C.6.a.</p> | <p>Temporary. Destroy 7 years after employee separation or transfer.</p> <p>(GRS 2.2, Item 080)</p> |
| 2. Employee Dispute Resolution. | |
| <p>a. Employment Dispute Resolution (EDR) administrative records. Includes:</p> <ul style="list-style-type: none"> • Prior EDR Plans • Prior EEO Plans • Orders or memoranda adopting EDR Plans or appointing EDR Coordinators • Statistical records of Informal Advice contacts | <p>Temporary. Destroy when 3 years old, but longer retention is authorized if required for business use.</p> <p>(GRS 2.3, Item 110)</p> |
| <p>b. EDR Assisted Resolution files. Includes:</p> <ul style="list-style-type: none"> • Request for Assisted Resolution • Any agreement to mediate • Records of process • Documentation of settlement or discontinuance of the Assisted Resolution process | <p>Temporary. Destroy 7 years after case is closed, but longer disposition is authorized if required for business use.</p> <p>(GRS 2.3, Item 110)</p> |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| <p>Also includes:</p> <ul style="list-style-type: none"> • Request for counseling under prior EDR Plans • Request for mediation under prior EDR Plans • Notice of designation of mediator • Documentation of settlement • Notice of conclusion of counseling period • Notice of conclusion of mediation | |
| <p>c. EDR Formal Complaint files. Includes:</p> <ul style="list-style-type: none"> • Formal Complaint (including complaint filed under prior EDR Plans) • Response to Formal Complaint (including response to complaint filed under prior EDR Plans) • Scheduling orders and notices of hearings • Motions, filings, and responses • Orders of chief judge and Presiding Judicial Officer • Records of process • Documents exchanged in discovery • Investigation reports • Documents presented at hearing • Transcripts of hearing • Written decision • Petition for Review of decision • Resolution of Petition for Review | <p>Temporary. Destroy 7 years after case is closed, but longer disposition is authorized if required for business use.</p> <p>(GRS 2.3, Item 111)</p> |
| 3. Administrative grievance, disciplinary, and adverse action files. | |
| <p>a. Local adverse action and/or grievance plan or procedure maintained by the court.</p> | <p>Temporary. Destroy 7 years when superseded or obsolete, but longer retention is authorized at the discretion of the principal records custodian.</p> <p>(GRS 5.7, Item 030)</p> |
| <p>b. Documents, memoranda, or other records related to an adverse action, disciplinary action, or grievance maintained by the court.</p> | <p>Temporary. Destroy 7 years after case is closed.</p> <p>(GRS 2.3, Item 060)</p> |
| 4. Performance management files. | |
| <p>a. Performance management plan, if applicable, including compensation strategy and appraisal tool with rating levels or tiers, and payout date for discretionary step increases.</p> | <p>Temporary. Destroy 7 years after superseded or obsolete, but longer retention is authorized</p> |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| | at the discretion of the principal records custodian. (GRS 5.7, Item 030) |
| b. Individual records of employee performance including performance evaluation, performance plan upon which it is based, and rating, among other performance related materials. | Temporary. Destroy when 7 years old or after AO audit, whichever is later. Longer retention is authorized at the discretion of the principal records custodian. (DAA-0021-2014-0001-0001) |
| 5. Awards and bonuses files. | |
| a. Employee recognition and/or bonus plans, as applicable maintained by the court. | Temporary. Destroy when 7 years old but longer retention is authorized at the discretion of the principal records custodian. (DAA-0021-2014-0001-0002) |
| b. For each employee recognition award recipient, documentation that includes name or ID, amount or cost of award, category or criteria, supporting justification, aggregate amount or cost of all awards received by the employee per year, and other related court-maintained documentation. | Temporary. Destroy when 7 years old but longer retention is authorized at the discretion of the principal records custodian. (DAA-0021-2014-0001-0003) |
| c. For each recruitment, retention, or relocation bonus documentation that includes name or ID, amount of bonus, service agreement, authorization, and other related court-maintained documentation. | Temporary. Destroy when 7 years old but longer retention is authorized at the discretion of the principal records custodian. (DAA-0021-2014-0001-0004) |
| 6. Employee medical folder (EMF). | |
| a. Any court-maintained medical records related to Family and Medical Leave Act (FMLA), serious health condition for sick leave, medical emergency for Voluntary Leave Transfer Program donated leave, reasonable accommodation for disability, workplace injury not reported as, or related to, an Office of Workers Compensation Programs (OWCP) claim, fitness-for-duty or counseling records related to alcohol or drug treatment programs. | Temporary. Destroy 7 years after employee separation or transfer. (GRS 2.7, Item 061) |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| <p>b. Law enforcement officer (LEO) medical examination record. Includes any medical records related to a LEO pre-employment suitability or fitness-for-duty evaluation that are in the possession of the court pending a suitability or fitness-for-duty determination.</p> | <p>Temporary. Destroy 1 year after employee separation or transfer. (GRS 2.7, Item 061)</p> |
| <p>c. OWCP claim. Court-maintained documents related to an OWCP claim. Including medical reports, copies of letters and decisions, and any other material that is part of the case file, regardless of its source. These documents are considered an alternate location for OWCP claim records, and under the jurisdiction of OWCP.</p> | <p>See: Guide, Vol. 12, § 750.30 (Workers' Compensation).</p> |
| 7. TELEWORK FILES. | |
| <p>a. Telework worksite program files. Records generated by the designated court telework coordinators and other related staff. Includes:</p> <ul style="list-style-type: none"> • Correspondence • Notes • Policy implementation documents • Form development files • Planning records • Program evaluation documentation/data • Other related records generated by the agency or participating employees | <p>Temporary. Destroy when 3 years old, but longer retention is authorized at the discretion of the principal records custodian. (GRS 2.3, Item 010)</p> |
| <p>b. Telework/alternate worksite agreements. Telework and alternate worksite agreements between the agency and the employee and other related documentation, such as questionnaires.</p> | <p>Temporary. Destroy when superseded or obsolete but longer retention is authorized if required for business use. (GRS 2.3, Item 040)</p> |
| 8. Administrative training records. | |
| <p>a. Individual employee training records. Records documenting training required by all or most Federal agencies, such as information system security and training to develop job skills. Records may include:</p> <ul style="list-style-type: none"> • Completion certificates or verification documents for mandatory training • Mentoring or coaching agreements <p>Note: This excludes academic transcripts, professional licenses, civil service exams, or documentation of mission-related training.</p> | <p>Temporary. Destroy when 3 years old, but longer retention is authorized at the discretion of the records custodian. (GRS 2.6, Item 030)</p> |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| <p>b. Non-mission employee training program records. Records about planning, assessing, managing, and evaluating an agency's training program:</p> <ul style="list-style-type: none"> • Plans, reports, and program evaluations • Employee skills assessments • Organizational and occupational needs assessments • Notices about training opportunities schedules, or courses • Employee training statistics • Mandatory training tracking and reporting files • Logistics and coordination documents • Authorization and similar records • Registration forms, employee attendance records • Syllabi, presentations, instructor guides, handbooks, lesson plans • Reference and working files on course content • Other course materials, such as presentations and videos • Student, class, or instructor evaluations <p>Note: Financial records related to purchase of training or related travel are retained under RDS 2, Item E.1.</p> | <p>Temporary. Destroy when 3 years old, but longer retention is authorized at the discretion of the records custodian.</p> <p>(GRS 2.6, Item 010)</p> |
| <p>9. Position classification files. Court copies of</p> <ul style="list-style-type: none"> • Position Description and Position Classification Certification Form (AO 194) • Organization chart at the time of position approval for supervisory or managerial positions • Other classification related documentation | <p>Temporary. Destroy 3 years after position is abolished, but longer retention is authorized at the discretion of the principal records custodian.</p> <p>(GRS 2.1, Item 021)</p> |
| <p>10. Job vacancy files. Court recruitment files consisting of:</p> <ul style="list-style-type: none"> • Copy of the vacancy announcement, job analysis, if applicable • Copy of newspaper advertisements (or other forms of advertising) • List of recruitment sources • Application materials with date of receipt • Ranking or rating criteria, if applicable (to include rating/scoring definitions and/or methodology) • List of applicants with designation or not qualified, qualified, and best qualified • List of applicants interviewed • List of interview questions used | <p>Temporary. Destroy 3 years after appointment.</p> <p>(GRS 2.1, Item 051)</p> |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| <ul style="list-style-type: none"> • New Appointment Applicants and Interviewees Worksheet (Part D of the AO 78A) • Other relevant recruitment documentation | |
| 11. Drug testing files. Includes records based on reasonable suspicion. | Temporary. Destroy when employee leaves the agency or when 3 years old, whichever is later. (GRS 2.7, Item 130) |
| 12. Employee drug test acknowledgement of notice forms. Forms completed by employees whose positions are designated sensitive for drug testing purposes, acknowledging they have received notice and they may be tested. | Temporary. Destroy 3 years after separation from drug testing position. (GRS 2.7, Item 110) |
| D. PAYROLL, PAY AND BENEFITS ADMINISTRATION RECORDS. | |
| 1. Time and attendance files. Sign-in/sign-out records, timecards, leave applications and approval of all types (annual, sick, family medical, military service, jury duty, leave donations, etc.); overtime, compensatory, and credit time requests and approval; premium pay authorizations; and other records documenting employees' presence at or absence from work. | Temporary. Destroy when 7 years old or after AO audit, whichever is later. (GRS 2.4, Item 030) |
| 2. Voluntary Separation Incentive Program. Voluntary Separation Incentive Payment (VSIP) and Voluntary Early Retirement Authority (VERA) Files. VSIP or VERA Restructuring plans, including target universe and selection criteria, organizational charts, offer notices, acceptance notices, VSIP payment calculations and documentation of how selection criteria was applied (if applicable). Retention is based on 5-year repayment requirements. | Temporary. Destroy when 3 years old, but longer retention is authorized at the discretion of the principal records custodian. (GRS 2.2, Item 020) |
| 3. Leave files. Leave records. Leave balances and documentation of accrual and use. | Temporary. Destroy upon separation or transfer of employee. (GRS 2.2, Item 041) |
| 4. Benefits files. | |
| a. Request for health benefits under spouse equity – Denied applications. | Temporary. Destroy 3 years after original denial, denial of appeal, or final court order, whichever is appropriate. |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| | (GRS 2.4, Item 111) |
| b. Documentation related to retirement assistance that includes correspondence, memoranda, annuity estimates, and other records used to assist retiring employees. | Temporary. Destroy 3 years after date of separation or transfer, but longer retention is authorized at the discretion of the principal records custodian. (GRS 2.5, Item 020) |
| c. Requests for health benefit under spouse equity – Successful applications, including those successfully appealed. | Transfer to appropriate retirement system after enrollment is cancelled or terminated, or annuity payment begins. (GRS 2.4, Item 110) |
| 5. Reasonable accommodation files. Documentation for an employee with a disability. Includes information created while receiving, coordinating, reviewing, processing, approving, and reporting requests for reasonable accommodation including request for personal assistance under 5 U.S.C. § 3102. | Temporary. Destroy 3 years after separation. (GRS 2.3, Item 020) |
| E. PROCUREMENT, FINANCE, AND SUPPLY RECORDS. | |
| 1. Procurement and financial records. Records relating to the procurement of goods and services (contracts, requisitions, purchase orders, etc.), payment of bills, collection debts, accounting, and processing travel vouchers/authorizations. | Temporary. Destroy 7 years after final payment or cancellation, but longer retention is authorized at the discretion of the principal records custodian. (GRS 1.1, Item 010) |
| 2. Personal property records. Includes documents relating to the acquisition and disposition of property. | Temporary. Destroy 7 years after date of final transaction. (N1-021-86-1, Item C3) |
| F. BUDGET FILES. | |
| 1. Budget background records. Contains cost statements, financial system data, financial projections and similar materials accumulated in the preparation of annual budget estimates, including duplicates of budget estimates and justifications and related appropriation | Temporary. Destroy when 3 years old, but longer retention is authorized at the discretion of the principal records custodian. (GRS 1.3, Item 041) |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| language sheets, narrative statements and related schedules. | |
| 2. Budget execution records. Records that offices create and receive in the course of implementing and tracking appropriations. | Temporary. Destroy 7 years after close of fiscal year, but longer retention is authorized at the discretion of the principal records custodian. (GRS 1.3, Item 020) |
| G. Space, Facilities and Maintenance Records. Facility, space, and equipment inspection, maintenance, and service records. Records documenting inspection, maintenance, service, and repair activities relating to buildings, grounds, Federally owned and operated housing, equipment, and personal property. Includes repair and maintenance orders, requisitions, and related papers; maintenance and inspection logs and reports; job orders, service call records, action sheets, and repair logs; and work, shop, or job schedules. | |
| 1. Records documenting facility structure and long-term maintenance. | Temporary. Destroy when 3 years old, but longer retention is authorized at the discretion of the principal records custodian. (GRS 5.4, Item 70) |
| 2. Records tracking completion of custodial and minor repair work. | Temporary. Destroy when 90 days old, at the discretion of the principal records custodian. (GRS 5.4, Item 71) |
| 3. Facility, space, vehicle, equipment, stock, and supply administrative and operational records. Records relating to administering and operating facilities, space, Federally owned and operated housing, land vehicles, water vessels, equipment, stocks, and supplies. Includes: <ul style="list-style-type: none"> • Statistical and narrative reports • Studies • Requests for space using Standard Form-81 or equivalent • Space assignments and directories | Temporary. Destroy when 3 years old, but longer retention is authorized at the discretion of the principal records custodian. (GRS 5.4, Item 010 and 70) |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| <ul style="list-style-type: none"> • Inventories of property, equipment, furnishings, stock and supplies • Reports of survey regarding lost, damaged, missing, or destroyed property • Requisitions for supplies and equipment • Records tracking supply and procurement requirements • Records scheduling and dispatching vehicles, monitoring use, and reporting use • Related correspondence <p>Note: This excludes records of supply and property procurement.</p> | |
| H. ADMINISTRATIVE MANAGEMENT RECORDS. | |
| <p>1. Internal administrative accountability and operational management control records. Internal evaluations of accounting and administrative controls. Includes:</p> <ul style="list-style-type: none"> • Copies of internal and external directives outlining management control policy • Management control plans and records of the planning process • Records of management reviews • Risk analyses and risk profiles • Internal control over reports • Records tracking assignments, tasks, and responsibilities • Administrative correspondence <p>Note: This excludes reports related to agency mission activities.</p> | <p>Temporary. Destroy 3 years after submission but longer retention is authorized at the discretion of the principal records custodian.</p> <p>(GRS 5.7, Item 010)</p> |
| <p>2. Internal control review, response and mitigation management records. Includes copies of reports and audits identifying internal administrative program weaknesses, mitigation action plans, corrective actions, tracking records, correspondence, and other records held by the office responsible for coordinating internal control functions.</p> <p>Note: This excludes records held by offices contributing to internal control review response and mitigation, but not responsible for overseeing it.</p> | <p>Temporary. Destroy 7 years after no further corrective action is needed, but longer retention is authorized at the discretion of the principal records custodian.</p> <p>(GRS 5.7, Item 020)</p> |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| I. ORGANIZATIONAL/GENERAL ADMINISTRATIVE FILES. | |
| <p>1. Files on internal administration and housekeeping activities. In general, these are records accumulated by individual offices that relate to office organization, staffing, procedures and communications and the expenditure of funds. Includes budget; day-to-day administration of office personnel such as travel and training; supplies, requests and receipts; and the use of office space. These papers do not serve as official documentation of the programs of the office.</p> <p>Note: This excludes records that relate only to the functions for which the office exists.</p> | <p>Temporary. Destroy when business use ceases.</p> <p>(GRS 5.1, Item 010)</p> |
| <p>2. Transitory records. Records required only for a short time (generally less than 180 days) and that are not required to meet legal or fiscal obligations, or to provide evidence of decision-making. Records include but are not limited to:</p> <ul style="list-style-type: none"> • messages coordinating schedules, appointments, and events • transmittal documents such as e-mail, letters, cover memos, and facsimile cover sheets, including inquiries about hours of operations, requests for copies of local rules, etc. Transmittal documents do not include items that provide evidence of approval, concurrence, or decision-making, or include substantive comments • copies of circulated internal information such as agency instructions, notifications, circulars, newsletters, and email blasts to employees • messages from agency distribution lists or listservs • “to-do” or task lists and assignments | <p>Temporary. Destroy when business use ceases. Email retained under this category may be kept within the email system. See note regarding “correspondence” at the beginning of this schedule.</p> <p>(GRS 5.2 Item 010)</p> |
| J. RECORDS TRANSMITTAL AND RECEIPT FORM (SF 135). | <p>Temporary. Maintain for 50 years. Do not transfer to an FRC.</p> <p>(N1-021-86-1, Item C7)</p> |
| K. INFORMATION TECHNOLOGY OPERATIONS AND MANAGEMENT RECORDS. | |
| <p>1. System and data security records. Records relate to maintaining the security of information technology (IT) systems and data. Records outline official procedures for</p> | <p>Temporary. Destroy 3 year(s) after system is superseded by a new iteration or when no longer</p> |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| <p>securing and maintaining IT infrastructure and relate to the specific systems for which they were written. This series also includes analysis of security policies, processes, and guidelines as well as system risk management and vulnerability analyses. Includes records such as:</p> <ul style="list-style-type: none"> • System Security Plans • Disaster Recovery Plans • Continuity of Operations Plans • Published computer technical manuals and guides • Example and references used to produce guidelines covering security issues related to specific systems and equipment • Records on disaster exercise and resulting evaluations • Risk surveys • Service test plans • Test files and data | <p>needed for agency/IT administrative purposes to ensure a continuity of security controls throughout the life of the system.</p> <p>(GRS 3.2, Item 010)</p> |
| <p>2. IT customer service files. Technical and administrative help desk operational records. Includes:</p> <ul style="list-style-type: none"> • Records of incoming requests (and responses) made by phone, email, web portal, etc. • Trouble tickets and tracking logs • Quick guides and “Frequently Asked Questions” (FAQs) • Evaluations and feedback about help desk services • Analysis and reports generated from customer management data • Customer/client feedback and satisfaction surveys, including survey instruments, data, background materials, and reports | <p>Temporary. Destroy 3 years after resolved, or when no longer needed for business use, whichever is appropriate.</p> <p>(GRS 5.8, Item 010)</p> |
| <p>3. IT asset and configuration management files.</p> | |
| <p>a. Inventories of IT assets, network circuits, and building or circuitry diagrams. Includes equipment control systems such as databases of barcodes affixed to IT physical assets.</p> | <p>Temporary. Destroy 3 years after agreement, control measures, procedures, project, activity, or transaction is obsolete, completed, terminated or superseded, but longer retention is authorized at the discretion of the principal records custodian.</p> <p>(GRS 3.1, Item 020)</p> |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| <p>b. Records created and retained for asset management, performance and capacity management, system management, configuration and change management, and planning, follow-up, and impact assessment of operational networks and systems. Includes, but is not limited to:</p> | |
| <p>(1) Data and detailed reports on implementation of systems, applications and modifications; application sizing, resource and demand management; documents identifying, requesting, and analyzing possible changes, authorizing changes, and documenting implementation of changes; documentation of software distribution and release or version management.</p> | <p>Temporary. Destroy 7 years after system is superseded by a new iteration, or is terminated, defunded, or no longer needed for agency/IT administrative purposes, but longer retention is authorized at the discretion of the principal records custodian.</p> <p>(GRS 3.1, Item 051)</p> |
| <p>(2) Records of routine IT maintenance on the network infrastructure documenting preventative, corrective, adaptive and perfective (enhancement) maintenance actions, including requests for service, work orders, service histories, and related records.</p> | <p>Temporary. Destroy 3 years after agreement, control measures, procedures, project, activity, or transaction is obsolete, completed, terminated or superseded, but longer retention is authorized at the discretion of the principal records custodian.</p> <p>(GRS 3.1, Item 020)</p> |
| <p>4. User identification, profiles, authorizations, and password files. Excludes records relating to electronic signatures.</p> | |
| <p>a. Systems requiring special accountability for access (e.g., those containing information that may be needed for audit or investigative purposes and those that contain classified records).</p> | <p>Temporary. Destroy 7 years after password is altered or user account is terminated, but longer retention is authorized at the discretion of the principal records custodian.</p> <p>(GRS 3.2, Item 031)</p> |
| <p>b. Systems not requiring special accountability for access. These are user identification records generated according to preset requirements, typically system generated. A system may, for example, prompt users for new passwords every 90 days for all users.</p> | <p>Temporary. Destroy when business use ceases.</p> <p>(GRS 3.2, Item 030)</p> |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| 5. Computer security incident handling, reporting and follow-up records. | Temporary. Destroy 3 years after all necessary follow-up actions have been completed, but longer retention is authorized at the discretion of the principal records custodian. (GRS 3.2, Item 020) |
| 6. System backups and tape library records. | |
| a. Backup tapes maintained for potential system restoration in the event of a system failure or other unintentional loss of data. | |
| (1) Incremental backup tapes. | Temporary. Destroy when superseded by a full backup, or when no longer needed for system restoration, whichever is later. (GRS 3.2, Item 040) |
| (2) Full backup tapes. | Temporary. Destroy when second subsequent backup is verified as successful or when no longer needed for system restoration, whichever is later. (GRS 3.2, Item 041) |
| b. Tape library records including automated files and manual records used to control the location, maintenance, and disposition of magnetic media in tape library. Also included list of holdings and control logs. | Temporary. Destroy when superseded by a full backup, or when no longer needed for system restoration, whichever is later. (GRS 3.2, Item 040) |
| 7. IT operations and maintenance records. Records relate to the activities associated with the operation and maintenance of the basic systems and services used to supply the agency and its staff with access to computer and data telecommunications. Includes the activities associated with IT equipment, IT systems, and storage media, IT system performance testing, asset and configuration management, change management, and | Temporary. Destroy 3 years after agreement, control measures, procedures, project, activity, or transaction is obsolete, completed, terminated, or superseded, but longer retention is authorized at the discretion of the principal records custodian. |

| Records Disposition Schedule 2 | |
|---|---|
| Type of Record | Disposition |
| <p>maintenance on network infrastructure. Includes records such as:</p> <ul style="list-style-type: none"> • Files identifying IT facilities and sites • Files concerning implementation of IT facility and site management • Equipment support services provided to specific sites • Inventories of IT assets, network circuits, and building and circuitry diagrams • Equipment control systems such as database of barcodes affixed to IT physical assets, and tracking of approved personally owned devices • Requests for service • Work orders • Service histories • Workload schedules • Run reports • Schedules of maintenance and support activities • Reports on operations • Website administration • Records to allocate charges and track payment for software and services <p>Note: Records needed to support contracts should be in procurement files.</p> <p>Note: If any maintenance activities have a major impact on a system or lead to a significant change, those records should be maintained according to item 8.</p> | <p>(GRS 3.1, Item 020)</p> |
| <p>8. Configuration and change management records. Records created and retained for asset management, performance and capacity management, system management, configuration and change management, and planning, follow-up, and impact assessment of operation networks and systems. Includes records such as:</p> <ul style="list-style-type: none"> • Data and detailed reports on implementation of systems, applications, and modifications • Application sizing, resource and demand management records • Documents identifying, requesting, analyzing, authorizing and documenting the implementation of possible changes and actual changes | <p>Temporary. Destroy 7 years after system is superseded by a new iteration, or is terminated, defunded, or no longer needed for agency/IT administrative purposes, but longer retention is authorized at the discretion of the principal records custodian.</p> <p>(GRS 3.1, Item 030)</p> |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| <ul style="list-style-type: none"> • Documentation of software distribution (including COTS software license management files) and release or version management <p>Note: If any maintenance activities have a major impact on a system or lead to a significant change, those records should be maintained according to this item.</p> <p>Note: Per NARA practice, documentation for electronic records should be transferred with the related records using the disposition authority for the related electronic records rather than the GRS disposition authority.</p> <p>Note: Judiciary units may retain a copy of documentation related to permanent electronic records. This copy may be destroyed at any time after the transfer request has been signed by the National Archives.</p> | |
| 9. Surveillance records. | |
| a. Routine. Records consist of courtroom security surveillance video or film of transmissions of courtroom proceedings. | Temporary. Maintain video at court location for not less than 14 days nor more than 30 days. Media may be reused after expiration of retention period. (N1-021-10-1, Item 1A) |
| b. Security incident | Temporary. Maintain video until conclusion of investigation or such time as determined by order of the chief judge of the court. Media may be reused. (N1-021-10-1, Item 1B) |
| L. OTHER JUDICIARY COMPONENTS. | |
| 1. Bankruptcy Administrator Program records. Section 302(d)(3)(l) of the Bankruptcy Judges, United States Trustees, and Family Farmer Bankruptcy Act of 1986, Pub. L. 99-554, 100 Stat. 3119, 3123, provides the statutory authority for the Judicial Conference of the United States to establish the bankruptcy administrator program. | |
| a. General administrative records | |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| (1) [Reserved] | |
| (2) General ledger. | Temporary. Destroy 7 years after the end of the fiscal year of the ledger. (DAA-0578-2013-0002-0002) |
| (3) Bank records, monthly statements, monthly bank reconciliations, cancelled checks, and deposit slips. | Temporary. Destroy 7 years following date they were issued. (DAA-0578-2013-0002-0003) |
| (4) [Reserved] | |
| b. Bankruptcy administration management files | |
| (1) Chapter 7 asset, Chapter 9, Chapter 11, Chapter 12, and Chapter 15 bankruptcy files. Records include: work papers, correspondence, hearing, trial, or other notes, financial statements, inventories, and other documents created or edited in preparation for a hearing, meeting of creditors, deposition, inspection, or other case-related event, whether held or not. | Temporary. Destroy 5 years after the case is closed. (DAA-0578-2013-0002-0005) |
| (2) Chapter 7 no-asset bankruptcy files. Records include: work papers, correspondence, hearing, trial, or other notes, financial statements, inventories, and other documents created or edited in preparation for a hearing, meeting of creditors, deposition, inspection, or other case-related event, whether held or not. | Temporary. Destroy 90 days after reviewing the trustee's no-asset report or when no longer needed for reference purposes, whichever is later. (DAA-0578-2013-0002-0006) |
| (3) Chapter 13 bankruptcy files. Records include: work papers, correspondence, hearing, trial, or other notes, financial statements, inventories, and other documents created or edited in preparation for a hearing, meeting of creditors, deposition, inspection, or other case-related event, whether held or not. | Temporary. Destroy 2 years after the date the trustee is discharged. (DAA-0578-2013-0002-0007) |
| (4) Trustees semi-annual or annual reports and budgets. | Temporary. Destroy when 10 years old. (DAA-0578-2013-0002-0008) |
| (5) Audits of trustees or bankruptcy administrators debtor audits. | Temporary. Destroy when 10 years old. (DAA-0578-2013-0002-0009) |

| Records Disposition Schedule 2 | |
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| Type of Record | Disposition |
| (6) Audio recordings or transcripts of meetings of creditors and equity security holders held under 11 U.S.C. § 341(a) . | |
| (a) Cases filed under Chapters 7, 9, 11, 12 13, and 15 of Title 11, U.S.C. | Temporary. Except as provided in section (b) below, destroy/delete the audio recordings 2 years after the date of the conclusion of the meeting of creditors. (DAA-0578-2013-0002-0010) |
| (b) All cases under Title 11, U.S.C. , where audio recordings may require an extended period of retention for one or more of the following pending matters: <ul style="list-style-type: none"> • collecting assets of the estate; • determining the appropriateness of discharge; • obtaining relevant information about the affairs of the debtor; • investigating the organization structure of the debtor; • fixing compensation of professional persons; • investigating or prosecuting criminal conduct, including but not limited to perjury. | Temporary. Destroy/delete 2 years after the conclusion of the applicable pending matter(s). (DAA-0578-2013-0002-0011) |
| (7) Bankruptcy criminal referral files. Records include referral documentation and all supporting documentation, whether or not part of the referral. | Temporary. Destroy 10 years after the date of referral or when case is closed, whichever is later. (DAA-0578-2013-0002-0012) |
| (8) Credit counseling and debtor education provider files. Records include applications, tax returns, and government certifications. | Temporary. Destroy 10 years after the end of the fiscal year of the application. (DAA-0578-2013-0002-0013) |